

# NASA GEO-CAPE Geostationary Coastal and Air Pollution Events Workshop Report

August 18-20, 2008 Chapel Hill, North Carolina *Version 11.26.2008* 

## GEO-CAPE Mission NASA Workshop Report

## Preface

In 2004, NASA, NOAA and the U.S. Geological Society (USGS) requested that the National Research Council (NRC) form a panel to identify and prioritize the observational platforms that should be launched and operated over the next decade. In addition to providing information solely for the purpose of addressing scientific questions, the NRC took the approach that increasing the societal benefits of Earth science research should likewise be high on the priority list of federal science agencies and policymakers, who have long believed that the role of scientific research is not only to expand our knowledge but also to improve the lives of Americans.

The resulting NRC study, known as the *Earth Science Decadal Survey* (NRC, 2007), recommended 17 missions to be launched in three time phases. Among these was a mission dedicated to the measurement of tropospheric trace gases and coastal ocean color from a geostationary spacecraft to be launched in the second phase (2013-2016). This Geostationary Coastal and Air Pollution Events (GEO-CAPE) mission fit into its vision of providing societal benefit while also advancing the scientific understanding of processes that act on short time scales and over large spatial domains. The NRC also called for a low-earth orbit mission (Aerosol-Cloud-Ecosystems, ACE) in the 2013-2016 timeframe for global measurements of aerosols, clouds, and ocean color, and another satellite similar to Aura to be launched again in the 2020 timeframe (Global Atmospheric Composition Mission, GACM). Also planned for the second tier of missions is HyspIRI (Hyperspectral Infrared Imager) that complements GEO-CAPE with high spatial resolution global coverage.

This report documents a NASA-sponsored three-day workshop held in Chapel Hill, North Carolina, in August 2008 to refine the scientific goals, objectives, and requirements of the GEO-CAPE mission and to identify priority near-term investments needed to further mature the concept towards readiness for a Phase A mission start. GEO-CAPE workshop discussions and findings are presented following a brief background on the decadal survey, its process, and the GEO-CAPE mission recommendation.

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**Executive Summary** 

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#### **1. Introduction**

The NASA Geostationary Coastal and Air Pollution Events (GEO-CAPE) mission is recommended for launch in the second phase of missions (2013-2016) by the 2007 NRC *Earth Science Decadal Survey*. The mission's purpose is to identify human versus natural sources of aerosols and ozone precursors, track air pollution transport, and to understand the response of coastal ecosystems to riverine and atmospheric input. GEO-CAPE will provide important high temporal resolution information on coastal ocean regions to study the impact of climate change and human activity on this poorly observed yet important component of the Earth's biosphere. Continuous observation from GEO-CAPE's geostationary perspective will allow for more adequate temporal monitoring of population exposure and the ability to relate pollutant concentrations to their sources or transport, thereby providing data to improve air quality forecasts and coastal zone management decisions.

NASA held a three-day workshop in August 2008 to refine the scientific goals, objectives, and requirements of the GEO-CAPE mission and to identify priority near-term investments needed to further mature the concept towards readiness for a Phase A mission start. The workshop brought together two science communities that had not previously considered in detail how best to design a mission that would mutually advance each discipline's science objectives. Thus, a substantial portion of the workshop focused on defining the science questions and measurement objectives of such a mission.



Figure 1. From a geostationary vantage point, GEO-CAPE will provide a unique capability to understand the formation and transport of regionally produced trace gases and aerosols, coastal ecosystem processes, and how anthropogenic and natural processes impact the interaction between air pollution and coastal biology.

#### **1.1 The Decadal Survey**

The National Research Council's decadal survey, *Earth Science and Applications from Space: National Imperatives for the Next Decade and Beyond*, was released in 2007 as the culmination of a two year study commissioned by NASA, NOAA, and USGS to provide consensus recommendations to guide the agencies' space-based Earth observation programs in the coming decade.

As described in the decadal survey report, the committee was organized into seven thematic panels and an executive committee. Community input was solicited via a Request for Information, and over 100 mission concepts were submitted by the community for consideration. The thematic panels evaluated submitted concepts based on eight prioritization criteria which were used to generate each panel's priority list:

- 1. Contribution to the most important scientific questions facing Earth sciences today (scientific merit, discovery, exploration)
- 2. Contribution to applications and policy making (societal benefits)
- 3. Contribution to long-term observational record of the Earth
- 4. Ability to complement other observational systems, including national and international plans
- 5. Affordability (cost considerations, either total costs for mission or costs per year)
- 6. Degree of readiness (technical, resources, people)
- 7. Risk mitigation and strategic redundancy (backup of other critical systems)
- 8. Significant contribution to more than one thematic application or scientific discipline

The panels then worked together to merge, combine, and condense the list of priorities into what is considered a "minimal yet robust" observing strategy. Ultimately, the report recommended a set of 17 missions in three time phases to achieve the needed observations while providing for both scientific advancement and societal benefit.

Several of the community submissions to the Decadal Survey articulated the need for high temporal resolution measurements to advance science in relation to coastal ecosystems and air quality. This resulted in the recommendation of the GEO-CAPE for the second deployment phase, identified in the report as 2013-2017. This ambitious schedule is critically dependent on the influx of additional funding, another key recommendation of the NRC.

#### **1.2 GEO-CAPE Workshop**

The workshop was held August 18-20, 2008 in Chapel Hill, North Carolina and was open to all interested parties. The agenda consisted of a blend of plenary presentations, poster sessions, and interactive breakout sessions (see Appendix A). Approximately 150 participants from the air quality and ocean color science communities attended (Appendix B), including several invitees who presented plenary lectures on key science

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topics and other points to consider in the formation of the mission. In addition, some 40 posters were presented. Many of the talks and poster presentations can be found at the GEO-CAPE website: <u>http://geo-cape.larc.nasa.gov/events-18AUG2008workshop-</u><u>PosterTitles.html</u>. All workshop participants were encouraged to discuss and refine the mission science goals with an emphasis on how the measurement needs of these two communities could be considered synergistically to define a better set of observations than would otherwise be achieved from two independent missions.

Presentations on the first day focused on providing background information on the relevant issues of the two distinct fields of atmospheric composition and ocean biogeochemistry and the rationale behind the NRC's recommendation that both of these disciplines be investigated from space using the same platform. In the afternoon, the two discipline groups held separate breakout sessions with the following charge:

- 1. Articulate mission science questions and science objectives for each discipline
- 2. Define observations/measurements for these objectives
- 3. Begin to define measurement requirements for observations needed
- 4. Begin to outline potential synergies between different disciplines

On the morning of the second day, a series of talks described similar efforts put forth by other space agencies and how the GEO-CAPE mission would complement future satellites, both those being planned by NASA, as well as foreign initiatives. In addition, a presentation was given summarizing a Headquarters-sponsored mission concept study that might be construed as GEO-CAPE with nominal instruments that would, in general terms, be capable of attaining a notional set of science objectives. The workshop then concentrated on more specific topics, including a discussion of the observational techniques that could be used to address the measurement requirements. This series of talks also highlighted some of the challenges that need to be overcome before placing instruments in geostationary orbit.

For the remainder of the workshop participants were then challenged to provide a concise set of science questions that would guide the objectives of the mission in the near-term before defining which instruments and observing strategies could best be developed to make GEO-CAPE a reality. These questions and objectives are presented in Table 1 and are the synthesis of much of the discussion that took place during the last day and half of the workshop.

The remainder of this report is organized as follows: Sections 2-4 present perspectives from the two disciplines separately. Section 2 contains science background, issues and accomplishments; section 3 presents the mission science questions and science objectives that were defined in the breakout sessions; and section 4 presents the measurement concepts and requirements to achieve these objectives. Section 5 then turns to the issue of synergy with sections on synergy between the two GEO-CAPE disciplines, as well as synergy with other satellite missions. Section 6 discusses the societal benefits of the GEO-CAPE mission, and section 7 presents a set of recommended short term studies.

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Table 1. Overarching Science Question: What are the effects of gaseous and particulate emissions on atmospheric composition, air quality, and coastal ecosystems, and how will they respond to climate change and increased human populations? [Alternative suggested by Antonio Mannino: : What are the effects of human activities such as emissions of atmospheric trace gases and particles and aquatic eutrophication on atmospheric composition, air quality, water quality and coastal ecosystems, and how will they respond to climate change and increased human populations? ]

Science Questions	Mission Objectives
What are the emission patterns of the precursor chemicals for tropospheric ozone, aerosols, and air quality pollutants?	Quantify the diurnal emission patterns of ozone and aerosol precursors, and air quality pollutants over North & South America and the adjacent ocean.
What are the diurnal processes that impact the evolution of gaseous and particulate emissions through chemical formation and loss, transport, and deposition, and how are these processes impacted in a changing world?	Measure the evolution of these atmospheric constituents as they are transformed and transported throughout the day over North & South America and surrounding ocean.
What processes affect and control the biology and biogeochemistry of aquatic coastal ocean zones, and how are they modulated by natural and anthropogenic forcings?	Characterize variability in primary productivity, phytoplankton biomass, and carbon pools in the coastal ocean in conjunction with measurements of natural and anthropogenic forcings.
How do climate variability, anthropogenic activity, weather and the episodic releases from fires and volcanoes affect air quality, river discharge, water quality, and the ecology and biogeochemistry of coastal ecosystems and what are the feedbacks?	Characterize changes in the atmospheric chemistry, hydrology, and coastal ocean biogeochemistry in response to climate variability, human activity, weather events and episodic input from fires and volcanoes.

#### 2. Science Background: Primary Issues and Accomplishments

#### 2.1 Atmospheric Composition

From an atmospheric chemistry perspective, the key measurable species in the troposphere is ozone  $(O_3)$  since it is the dominant reactive precursor that determines the abundance of the hydroxyl radical (OH) in the troposphere. If ozone's global distribution and the evolution of that distribution are understood, then many of the important. questions about atmospheric chemistry can be answered, including the synergy between climate change and atmospheric composition. The primary challenge lies in the fact that  $O_3$  is both a natural and a man-made component of the lower atmosphere (as opposed to the stratosphere, where ozone is produced only naturally). The two major sources of tropospheric  $O_3$  are its transport from the huge stratospheric reservoir and its *in situ* photochemical production from the release of anthropogenic and biogenic precursors that are oxidized in the atmosphere to eventually become ozone. In turn, most of these trace gases are initially oxidized by OH. Thus, if the distribution of  $O_3$  is well known, then we improve our knowledge of the oxidizing capacity (i.e., the abundance of OH) of the atmosphere as well as the global extent of air pollution. These are two of the "grand challenges" put forth in the integrated global observations strategy for atmospheric composition (Barrie et al., 2004). Global observations of trace gases using low-Earth orbiting (LEO) satellites have already provided the community with a unique set of observations. By being able to measure several important precursors to  $O_3$  formation, as well as  $O_3$  itself, it is clear that *in situ* production is an important, and possibly dominant, source of tropospheric  $O_3$ . However, the processes by which formation occurs are still not completely understood. Measurements from a geostationary platform would provide the temporal and spatial resolution to better quantify the mechanisms by which ozone is formed on regional scales before becoming a component of the global system.

Three critically important precursor trace gases that are key for ozone formation are CO, a class of gases known as volatile organic carbon (VOC) species, and nitrogen oxides (NO<sub>x</sub>), which are mostly the sum of nitrogen oxide (NO) and nitrogen dioxide (NO<sub>2</sub>). Formaldehyde (HCHO, a surrogate for VOC), CO, O<sub>3</sub>, and NO<sub>2</sub> (one of the two primary components of NO<sub>x</sub>) can now be measured from one or more instruments currently flying on LEO satellites.

An example of the shortcoming associated with a lack of being able to obtain temporally resolved features is shown in Figure 2, which summarizes model results and measurements obtained during an Aura validation campaign June 22-23, 2005. The two OMI NO<sub>2</sub> column measurements over Houston are depicted by the red circles on the plot and were made at ~1900 GMT on each day; the distribution at the time of the measurement for the two days is shown in the center and right panels above the plots. The left top panel shows the NO<sub>2</sub> column distribution calculated with the Community Multi-scale Air Quality (CMAQ) model (Byun et al., 1999). On the hourly plot, there are two sets of calculated quantities: surface NO<sub>2</sub> concentration (magenta) and integrated tropospheric NO<sub>2</sub> (dark blue), the latter being what is measured by OMI. Because its distribution is dominated by local sources, the NO<sub>2</sub> observed by the satellite is closely

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linked to its concentration at the surface and the diurnal behavior of these quantities is also closely linked. If an instrument with spectral resolution comparable to that of OMI were in geostationary orbit, hourly observations of the type indicated by the blue diamonds during daylight hours (yellow shading) would be possible. Thus, an instrument using today's measurement capability that "stares" at a region throughout the course of the day would capture the most significant part of the diurnal variability that is totally missed by the low-earth orbiting Aura platform, which finds NO<sub>2</sub> values of 8 and 4 x 10<sup>15</sup> molec. cm<sup>-2</sup>, for the  $22^{nd}$  and  $23^{rd}$ , respectively.

With the exception of CO, each of these trace species also plays an important role in the generation of aerosol particles. Sulfur dioxide (SO<sub>2</sub>), another key component to aerosol formation, can also be measured from satellites. Thus, the simultaneous measurement of each of these species provides valuable insight into the global cycles of both tropospheric ozone and aerosols and their evolution regionally as they become intertwined with the global aspects of their budgets. Furthermore, as these gases and aerosols are transported to coastal regions, they impact the nutrient cycles of the organisms that live in the near-coastal waters and, while still in the atmosphere, interfere with the spaceborne measurements of coastal ecosystems.

The question of measuring boundary-layer concentrations of various trace gases and aerosols was a key aspect of much of the discussion during the workshop. Some of this discussion will be highlighted in the "Measurement Concepts" section of this report.

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Figure 2. Two OMI NO<sub>2</sub> column measurements over Houston are depicted by the red circles at the time of the measurement (~1900 GMT) on June 22 and 23, 2005. The three depictions at the top portion of the figure are NO<sub>2</sub> column distributions: The left panel is calculated using CMAQ with a 12-km resolution; the other two panels are from OMI for the two respective days and all three depictions use the color scale above them. The diurnal calculations shown by the curves are the NO<sub>2</sub> surface concentrations (magenta) and the NO<sub>2</sub> columns (dark blue) calculated by the CMAQ model for Houston and are plotted hourly over this 2-day period. The yellow shading indicates daylight hours and illustrates when data using the solar backscattered technique (as used by OMI) could be obtained from a geostationary orbit (from Fishman et al, 2008).

#### 2.2 Coastal Ocean Ecosystems and Biogeochemistry

Remote sensing of marine ecosystems and biogeochemical processes relies on the ability of sensors to measure subtle variations in ocean color and to differentiate this signal from variability in the overlying atmosphere. The color of the ocean, where it is sufficiently deep and far from land, is determined almost exclusively by microalgae known as phytoplankton ("phyto" = plant, "plankton" = floating). Phytoplankton contain chlorophyll *a* and other pigments that absorb light in certain bands and thus affect the emerging water-leaving radiance in those bands. In addition, phytoplankton and microbial processing of organic matter produces a form of dissolved organic carbon that also absorbs light and affects the water color. This "colored dissolved organic matter" (CDOM) absorbs in the UV, violet and blue end of the spectrum, whereas chlorophyll absorbs in the blue and red regions.

The most commonly derived product from ocean color is the upper-ocean chlorophyll concentration, which has been a measure of phytoplankton biomass long before satellites were used to study the ocean. The chlorophyll concentration is used primarily in two types of models. In one application, it is used to estimate primary productivity (or the rate of photosynthetic carbon fixation, units of mg C  $m^2 d^{-1}$ ) in an effort to understand the role of ocean biology in the global carbon cycle (Behrenfeld et al. 2006, 2001; Antoine and Morel, 1996; Longhurst et al. 1995). Primary productivity algorithms use chlorophyll, photosynthetic available radiation (PAR), and sea surface temperature – all of which can be remotely sensed. The other application is in ecosystem models that are used to study the interaction between phytoplankton (P), zooplankton (Z), and nutrients (N). The use of satellite-derived chlorophyll in these NPZ models is often as a means of validating model predictions of P (Friedrichs et al. 2007; Fujii et al. 2007; Liu and Woods, 2004; Arrigo et al. 2003). The models predict the response of phytoplankton to physical and chemical forcings that operate on a wide range of time scales (from subdiurnal to seasonal and longer). For satellite data to be used as input to the models, the spatial and temporal scales of the satellite data need to be comparable. Currently coastal models are run with < 1 min to 30 min time steps and 300 m to 4 km spatial bins to resolve the physical dynamics and complexity of the coastal ocean (Hofmann et al. 2008). Thus, GEO-CAPE will provide critical information to constrain the next generation of coastal ocean models.

Today's operational chlorophyll algorithms use a blue-to-green ratio of water-leaving radiances in a statistical relationship derived from ~3000 surface measurements. This simple relationship is based on the so-called 'bio-optical assumption,' which assumes that all optically active constituents covary with chlorophyll in a globally consistent manner. It is acknowledged that the 'bio-optical assumption' is not universally valid, particularly in the coastal ocean. The coastal ocean, defined roughly as the ocean over the continental shelves and sometimes includes the Great Lakes, is much more optically complex than the deep open ocean. In addition to phytoplankton pigments and covarying CDOM, a dynamic mixture of materials derived from the land also influences the water color. These include terrigeneous CDOM, detritus (decaying particles), and suspended

sediments. Algorithms for optically complex coastal waters are being developed to solve this multivariate problem (e.g., see IOCCG, 2006).

Some of the light absorbed by the phytoplankton is re-emitted by the chlorophyll-a pigment as a red fluorescence. MODIS has two bands, on and off the fluorescence peak, for measuring this fluorescence. The chlorophyll fluorescence is an especially valuable measurement for coastal waters because it is less affected by the high CDOM due to runoff from land that is found in many coastal areas. Thus, future sensors imaging the coastal zone should have sufficient spectral resolution to resolve the chlorophyll fluorescence peak, and other variability related to the optical complexity of these waters.

#### 3. Science Enabled by Measurements from Geostationary Orbit

#### 3.1 Atmospheric Composition

The biggest limitation to wider use of current tropospheric composition satellite observations in AQ applications and tropospheric process characterization relates to issues of temporal and spatial resolution and these are most readily addressed by a platform in GEO. Measurement resolution is dictated by a combination of basic physics. signal sensitivities, required sensor integration times, and orbital coverage. The ability to "stare" from GEO should provide improved integration times for weaker spectral signals and improve characterization of surface parameters. Current horizontal resolutions from LEO are considerably greater than what is required to resolve city-scale processes and match the resolutions of the next-generation regional models. Measurement horizontal resolution of better than 10 km (and preferably 2-5 km) will be required. Horizontal homogeneity of the viewing scene in terms of surface reflectivity (or emissivity) and cloud cover is also important for the quality of satellite nadir retrievals. A smaller pixel also improves the chances or finding cloud-free scenes. At the same time, horizontal coverage must be at least on a continental scale to capture regional pollution episodes, and the combined requirement for high horizontal resolution and large area coverage can present major technological challenges.

Tropospheric measurements with high temporal frequency, as are possible from geostationary orbit, will revolutionize our understanding of the rapid and complex processes that transform and transport air pollutants and precursors. This information is critical for both air quality and climate policy. Geostationary observation of atmospheric composition can also provide unique information to better quantify the sources of chemical agents of climate change, particularly those involving natural processes that may be affected by human activity (forcings) or respond to climate change (feedbacks). Measurements from GEO-CAPE will greatly improve our ability to characterize radiative forcing and climate feedbacks involving North American and South American sources, and thus improve model projections of future regional and global climate change. Measurements from LEO satellites cannot track the variability of the sources throughout the course of the day, the chemical evolution of secondary products, and the subsequent transport of these gases and aerosols.

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Most important emission sources are inherently variable on diurnal time scales (mobile sources, fires, vegetation, soil, lightning) and as such difficult to properly observe. The measurements of GEO-CAPE will be critical in characterizing in detail and drastically reducing the uncertainty on estimates of the emissions of  $NO_x$ , CO, isoprene (through HCHO), aerosols, and  $SO_2$ . Chemical evolution and aerosol formation in the emission plumes takes place on hourly time scales, and understanding this processing is essential in terms of the implications for air quality and climate forcing. For ozone and CO, differentiating upper and lower tropospheric concentrations will further enable separation of distant and local sources. Multi-angle observation of aerosols over the course of the day, as uniquely possible from geostationary orbit, could provide important new information on aerosol properties.

GEO-CAPE can be used to quantify the sources of chemical agents of climate change, particularly those involving natural processes that may be affected by human activity (forcings) or respond to climate change (feedbacks). Many of these sources are episodic or have strong temporal variations on hourly time scales, making them difficult to observe from either in situ platforms or polar-orbiting satellites. A geostationary platform is ideal to quantify the sources of chemical agents of climate change, particularly those involving natural processes that may be affected by human activity (forcings) or respond to climate change (feedbacks). Specific sources include:

- 1. **Biomass burning**. Open fires emit large amounts of carbon gases, aerosols, and nitrogen oxides that go on to produce ozone. Fire activity varies greatly with time of day. There is large uncertainty regarding source magnitudes and how they relate to the type of biomass burned and to the burn conditions. Fires may represent a major climate forcing or feedback agent but even the sign of the effect remains uncertain.
- 2. Lightning. Nitrogen oxides emitted from lightning are a major natural source of ozone in the middle/upper troposphere, where ozone is a strong greenhouse gas. This could represent a significant feedback to climate change. Current estimates of the global lightning source vary by about an order of magnitude and there is very little understanding of how NO<sub>x</sub> emission depends on lightning type and intensity. Lightning is episodic and associated with convective clouds, so that continuous geostationary observation is of particular advantage.
- **3. Biogenic VOCs**. VOCs emitted by vegetation are a major source of organic aerosols and ozone, and this could represent an important feedback to climate change. Emissions have a strong diurnal dependence and vary with vegetation type and environmental conditions in a way that is presently poorly understood.
- **4. Dust events**. Dust is the largest component of the global atmospheric aerosol, and can act as either a climate forcing (e.g., agricultural erosion) or feedback. Dust emission is episodic and is extremely sensitive to wind speed and other environmental parameters, in a manner that is poorly represented in models. Again, continuous observation offers the only means to properly characterize this source.

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**5.** Surface carbon fluxes.  $CO_2$  and methane observations from space have the potential to greatly improve our understanding of surface fluxes of these gases through inverse analyses. A recognized limitation of existing and planned polar-orbiting instruments is their inability to observe the diurnal variability of  $CO_2$  uptake by vegetation or the episodic character of methane emission. Geostationary observations can effectively address this limitation.

The currently planned GEO-CAPE observation capabilities for aerosols, ozone, CO, NO<sub>2</sub>, and formaldehyde will powerfully address issues (1)-(4). Beyond the value of continuous observation from geostationary orbit, the multi-angle sensing of aerosols inherent in a geostationary platform has the potential to provide new constraints for describing aerosol optical properties and radiative forcing in models. Addressing issue (5) will require additional  $CO_2$  and methane sensing channels in the solar and thermal IR. The feasibility of this addition will need to be determined as part of the GEO-CAPE design phase.

GEO-CAPE will provide a key data set for assimilation into and the development of nowcast and forecast models of air quality and climate forcing, and in this capacity it will contribute to the operational programs of the Environmental Protection Agency (EPA) and the National Oceanic and Atmospheric Administration (NOAA). This is discussed in more detail in section 6 which focuses on Societal Benefits. In addition, an improved understanding of the processes of tropospheric pollutants will feed into improved predictions of the impacts of climate change on air pollution when climate models process representations are verified.



Figure 3. Application of GEO-CAPE to observe chemical agents of climate change

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#### 3.2 Coastal Ocean Biology and Biogeochemistry

The geostationary perspective is needed to address science questions in the productive and dynamic coastal ocean, where spatial and temporal scales of variability are much smaller compared with the open ocean. High frequency (hourly), synoptic observations would provide the basis for understanding the processes that affect the ecology and biogeochemistry of coastal ecosystems. Semi-diurnal and diurnal tides play an important role in shallow near-shore regions and off-shore banks where tidal mixing stirs up bottom sediments, nutrients, and pollutants. Other coastal processes include wind-driven upwelling, atmospheric deposition, input from rivers (in particular during episodes of high discharge and coastal flooding), and the timing and fate of algal blooms that are stimulated by these forcings. Biological processes such as cell division and grazing have diel cycles that require high-frequency observations. Sea breezes introduce diel variability as on- and off-shore winds modulate the aerosols over the ocean. High temporal sampling will also help alleviate the large impact of clouds that greatly limits the number of images available from LEO sensors. Better spatial resolution will also help by reducing subpixel cloud contamination.

Specific science questions that can be addressed with the GEO-CAPE mission are as follows:

# 1. How are coastal algal blooms impacted by climate or environmental variability and change? What are the consequences for living marine resources? What are the consequences for the oceans biological pump and carbon fluxes? What are the consequences for oxygen minimum zones? What are the consequences for biodiversity? What are the consequences for ecosystem health?

The drivers of coastal blooms change from region to region. The major drivers are upwelling, mixing, runoff and atmospheric deposition. These processes will be studied intensively in regions were each driver is dominant in order to generate predictions of how they will change over time. These processes have been studied before but not at the resolution provided by GEO-CAPE. Comparative local experiments can be envisioned. Along the west coast certain regions tend to have more intense and larger blooms relative to others (i.e. San Francisco/Monterey Bay versus Point Sur), why is this? How does a river-driven system compare to one that is not and only a few kilometers away?

## 2. How and how fast do (harmful) algal blooms, oil spills, pollutants and other elements that could be detrimental to ecosystems disperse in the coastal ocean?

Tidal and wind driven currents typically exceed 2 knots and harmful algal blooms or other features of interest can move 70 km in the 24 hours between samples with a LEO ocean color imager. Models of coastal ocean dynamics have been used to track plankton blooms, and the models require 30 min time steps and 300 m spatial resolution to resolve the coastal physics which is driving these changes. Hourly data from GEO-CAPE will

make it possible to add biological dynamics to these models, validate these models, and advance our understanding of phytoplankton dynamics in the coastal ocean.

3. What is the role of continental margins in the global cycles of carbon and nitrogen (including global primary productivity)? What are the sources/pathways, forms and fates of carbon and nitrogen to rivers, estuaries, and continental shelves? What is the contribution of terrigenous organic matter exported to the coastal ocean and to the open ocean?

The coastal ocean is an important component of the global ocean carbon cycle. Although the coastal zone is less than 10% of the surface area of the global ocean, 25-50% of the global marine photosynthesis occurs in the coastal ocean (Muller-Karger, 2001). Carbon fixed through photosynthesis in the coastal ocean is strongly influenced by complex physical and biological controls on nutrient supply and light availability. The air-sea exchange of carbon dioxide depends on both physical transport processes in the atmosphere and ocean as well biological uptake. The riverine carbon flux from land to ocean is significant; in the coastal ocean of the United States, it is 10-30% of the landatmosphere carbon flux (Pacala, 2001). Estimates of the export of carbon to the deep ocean and sediments in the coastal zone range from 30% (Karl et al., 1996) to 80% (Walsh, 1991) of the global value. Carbon exchange between the continental margin and the deep-sea (including land-to-ocean transport of carbon) is poorly understood because it is often small and when larger, takes place episodically. The uncertainty associated with these and other estimates are large, indicating our lack of understanding and knowledge. As a result, key questions still remain as to whether coastal regions are an overall net source or sink for atmospheric  $CO_2$  on an annual basis (Ianson & Allen, 2002).

Evidence to date suggests that higher latitude continental margins (e.g., Middle Atlantic Bight) are generally carbon sinks, while lower latitude margins (South Atlantic Bight) are sources of CO<sub>2</sub> to the atmosphere. Yet, work in the Amazon River plume suggests that this region represents a net carbon sink. Focused field expeditions and process studies along U.S. continental margins as well as off the Amazon and Orinoco River plumes along with a GEO ocean color sensor will help elucidate the role of continental margins and the fate of terrigeneous and autochthonous production (mineralization, burial, and export to the open ocean). The sources and pathways to be studied include atmospheric wet/dry deposition, groundwater, agricultural runoff, forest/grassland/wetland vegetation decomposition, and air-sea exchange.

The spatial extent of a river plume can usually be detected by its color contrast with the receiving ocean waters, whereas its thermal signature can be indistinguishable at certain times of year. The best property for delineating a river plume is salinity. Ocean surface salinity is feasible from present-day satellite missions such as the CALYPSO, although the spatial resolution is too coarse. A robust river-specific relationship between CDOM (derivable from ocean color) and salinity has been demonstrated and holds promise for mapping river plumes (Salisbury et al. 2001, 2004).

## 4. Measurement Concepts and Requirements

A considerable portion of the workshop was devoted to current and future measurement capabilities for spaceborne instruments. The discussion in this section is divided into various measurement techniques categorized by spectral ranges for both atmospheric composition and ocean color measurements.

#### 4.1 Measurements of the troposphere

The recent advances in tropospheric remote sensing from LEO instruments such as MOPITT, GOME, MODIS, MISR, SCIAMACHY, OMI and TES have demonstrated the value of using satellites for both scientific studies and environmental applications. Although satellite remote sensing of the troposphere is a relatively new capability, great strides forward have been made in the last ten years or so. The current satellite data can best be used to characterize aerosol and ozone precursor sources, transport, and variability on continental and global scales

The useful trace gas spectral signatures (UV-A to microwave) are limited. The detection of a particular pollutant or process also often depends on the concentration perturbation that is produced relative to background levels, which in turn may depend on pollutant lifetime and transport. Remote sensing issues associated with the retrieval dependence on prior assumptions and climatologies, and the availability of required retrieval ancillary data (cloud, aerosol, scene characterization, temperature profile and contaminating signals), are particularly problematic for tropospheric measurements. There is also a strong need for observations of physical climate and land surface variables to improve emission inventory estimates and better forecast the development of pollution episodes. Important physical variables in this regard include the depth of the boundary layer (accessible by lidar aerosol observations), surface roughness, soil moisture, land cover, and winds.

Top-priority air quality (AQ) measurements from space with demonstrated capability include tropospheric  $O_3$ , CO, NO<sub>2</sub>, HCHO, SO<sub>2</sub>, and aerosols. Retrieval capability is being explored for NH<sub>3</sub>, important in aerosol formation, aerosol composition and size distribution, and gas-phase species H<sub>2</sub>O<sub>2</sub>, PAN, HNO<sub>3</sub>, HNO<sub>4</sub>, acetylene, HCN, glyoxal, and formic acid. Retrieval of tropospheric ozone in the extratropics remains sensitive to information on the tropopause location and on near-surface concentration.

As part of the workshop, we reviewed the existing measurement capabilities and grouped them by spectral regions (UV, VIS and IR). The following sections contain specific measurement concepts for the various spectral regions.

#### 4.1.1 UV/VIS Capabilities for Atmospheric Composition

Several tropospheric  $O_3$  precursor trace gases and  $O_3$  itself can be observed in the UV-VIS part of the spectrum (Figure 4), These measurements rely on observations of solar

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radiation backscattered from the atmosphere and Earth's surface and are possible during daytime. Since a number of these species have appreciable stratospheric column burdens, a significant challenge lies in isolating a tropospheric signal from these total column measurements. This is especially true in the case of  $O_3$  where the tropospheric column of particular interest for AQ studies represents only about 10% of the total.

There is nearly a 3-decade heritage of using the Backscatter Ultra Violet (BUV) technique to derive atmospheric ozone and the challenges associated with retrieving information using this methodology were reviewed during the Workshop. In the original instruments (BUV, SBUV and TOMS), finite filters in combination with differential absorption spectroscopic techniques have been used to derive O<sub>3</sub> and SO<sub>2</sub>. In the 1990s, spectrometers have been flying providing continuous spectra in the near UV and VIS portions of the spectrum. These are used to generate O<sub>3</sub>, NO<sub>2</sub>, HCHO, SO<sub>2</sub>, and CHOCHO, in addition to being able to infer information about aerosols in the atmosphere.



**Optical Depths for Typical GEO Measurement Geometry** 

Figure 4. Optical thicknesses for absorption by pollutant gases for typical geostationary measurement geometry. SO2 is calculated for a concentration typical of volcanic eruptions, while the other gases are calculated for conditions of moderate atmospheric pollution. Nitrogen dioxide (NO2) serves as the proxy for odd-nitrogen pollution, while formaldehyde (HCHO) and glyoxal (CHOCHO) are indicators for pollution by volatile organic compounds.

The pervasive question about how to isolate a tropospheric partial column from these total column measurements has been investigated using direct analysis of the spectral signatures and by using "residual" techniques that subtract a stratospheric component from the total column measurement with the aid of other measurement or model information. This methodology has been utilized to derive global distributions of tropospheric  $O_3$  and  $NO_2$ . Additionally, the vertical sensitivity of the backscattered radiances has to be fully characterized. This is important when deriving vertical column amounts from the slant column measurements and when accounting for problems that arise due to interferences from clouds, aerosols, Raleigh scattering and surface reflectivity uncertainties. These issues can significantly reduce measurement sensitivity to the planetary boundary layer (PBL).

Satellite measurements of backscattered radiances in the UV-VIS from a geostationary orbit would significantly contribute to improving the knowledge on aerosol amounts and types. This information is not only necessary for direct application in AQ and climate analysis but also to account for the possible interference effect of aerosols in the retrieval of concentrations of trace gases, and for the required atmospheric correction in the retrieval of ocean color products.

#### 4.1.2 IR Capabilities for Atmospheric Composition

The thermal infrared (TIR) part of the spectrum contains useful spectral signatures of  $O_3$  and CO amongst others. Satellite instruments make day and night retrievals of these species using radiation that is sensitive to the emission from the Earth's surface and to absorption and emission from the atmosphere. Because these latter processes depend on the vertical profiles of pressure, temperature and concentration of the target gas itself, it is possible to derive some vertical profile information. The generally low thermal contrast between the surface and near-surface atmosphere limits the measurement sensitivity to the PBL, and characterization of the surface and cloud cover are both important for good retrievals. There are also spectral signatures of CO and  $O_3$  in the near-IR (NIR), in addition to features for the  $CO_2$  and  $CH_4$  greenhouse gases. At these wavelengths, measurements primarily make use of backscattered solar radiation. Although characterization of the surface reflection is again important for good retrievals, total column retrievals are almost uniformly sensitive to the atmospheric gas profile, including the PBL.

The first TIR technique used to measure a trace gas from space was gas filter correlation radiometry (GFCR), provides high effective spectral resolution, discrimination of the spectral signatures of interest and good signal-to-noise. GFCR was the basis of the MAPS instrument that measured column CO and flew on several Space Shuttle flights in the 1980s and 1990s. The technique is also used for retrieval of CO tropospheric profiles by the MOPITT instrument on the Terra satellite launched in 1999. Because these retrievals rely on thermal contrast between the surface and atmosphere, the measurement is primarily sensitive to two broad layers of CO in the lower and upper free troposphere, and PBL CO

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is not entirely measured. Since its launch, MOPITT has since been joined by several other satellite instruments with capability to measure free troposphere CO. Total column CO retrievals using the NIR spectral band have been demonstrated by the ENVISAT/SCIAMACHY that was launched in 2002 and more recently by MOPITT.

The TES Fourier transform TIR spectrometer was launched in 2004 aboard the Aura satellite. In addition to measurements of CO and other species of interest for atmospheric composition studies, TES makes the key measurement of tropospheric O<sub>3</sub>. Sounding tropospheric ozone directly in the infrared requires spectral resolution of at least 0.1 cm<sup>-1</sup>, and signal-to-noise characteristics of at least several hundred. Sensitivity to the lower layers of the atmosphere is again primarily influenced by thermal contrast and also ozone amount. Comparisons of TES measurements to sondes and analysis of degrees of freedom of signal illustrates that TES has sufficient resolution to differentiate the upper and lower troposphere.

Robust techniques for the retrieval of aerosol optical depth and information on particle size distribution have been developed and are applied routinely to observations by the MODIS and MISR sensors. In addition new retrieval approaches that make use of observations in the near-UV and blue region spectral regions have been developed over the last ten years. Near UV observations from the TOMS and OMI sensors have been successfully used for the retrieval of aerosol absorption [Torres et al., 2005]. Observations at near UV [Torres et al, 2007] and blue [Hsu et al, 2004] wavelengths are also suitable for the retrieval of aerosol optical depths over land areas including arid and semi-arid regions of the world, where traditional single-view visible-near IR methods do not work. The proposed GEO-CAPE spectral coverage would, for the first time, offer the capability to simultaneously measure aerosol optical depth, size distribution and aerosol absorption from a set of sensors at fine spatial resolution and unprecedented temporal resolution taking full advantage of state-of-the-art retrieval capabilities. The availability of UV channels will allow the identification and characterization of organic aerosols that have a unique spectral signature in the UV and are not easy to differentiate from other aerosol types with visible-only observations. Observation of the diurnal cycle of the different processes of aerosol injection and transport will provide new important information for the understanding of AQ and climate science issues. As with aerosol retrieval from LEO sensors, the accuracy of retrieved information is closely related to the pixel size of the observations since sub-pixel cloud contamination is the largest source of uncertainty. Thus, spatial resolution is of the utmost priority for accurate results. Although such resolution on the order of 1 km or less is preferable, studies should be conducted to see how much information can be obtained from measurements with lower resolution.

#### 4.1.3 Multispectral approaches to Lowermost Troposphere Measurements

The ability to retrieve trace gas concentrations in the planetary boundary layer (PBL) is important for the characterization of pollutant sources. In addition to source determination, a measure of PBL concentration in conjunction with free troposphere

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profile information allows local production to be separated from transported pollution. However, these retrievals are challenging: spectral signatures from the UV to NIR are subject to interferences from clouds, aerosols, and air scattering, and to surface reflectivity uncertainties. In the TIR, the general lack of temperature contrast between the atmosphere and surface limits PBL retrieval capability. Multispectral observations may provide average PBL concentrations for some species, with CO and  $O_3$  being the best candidate species at present. The alternatives to a multispectral retrieval are total column or free troposphere products, both of which require modeling to estimate a PBL concentration and to derive emission sources. Since PBL descriptions (venting and height) and convection parameterizations are among the least certain modeling elements, these combined measurement and model estimates for PBL concentrations have large uncertainties.

In the case of CO, a retrieval isolating the PBL will require a multispectral NIR+TIR retrieval. Examination of measurement sensitivity as characterized by the weighting functions shows that these measurements are complementary. Conceptually, the TIR measurements are used to retrieve a free-tropospheric profile and the associated partial column that is then subtracted from the NIR-retrieved total column to leave the lowermost troposphere partial column. The TIR measurement provides the 'big picture' and captures the long-range transport prominent in the free troposphere and 24-hour coverage. The NIR measurement is needed to observe source regions and provide increased sensitivity to the PBL. It is important to note that although the NIR measurement has sensitivity to the PBL, it cannot isolate the PBL in terms of providing a trace gas concentration. This is particularly important in the case of CO since the PBL and free troposphere partial columns are often of comparable magnitude due to the medium lifetime and the importance of transported CO. With sufficient instrument spectral resolution and noise characterization, experience with MOPITT measurements suggests that up to three independent profile layers should be possible in the combined NIR+TIR retrieval. These would separately characterize the PBL and the lower and upper free troposphere.

Two recent studies suggest that combined wavelengths (e.g. TES + OMI) could provide improved sensitivity to boundary layer ozone. Worden et al (2007) described simulations of TES and OMI radiances, and applied a linearized retrieval to characterize the sensitivity and error. This was performed for set of profiles that had varying ozone, surface characteristics, and atmospheric temperatures. Landgraf and Hasekamp (2007) performed a similar analysis, where they used instrument characteristics of GOME-2 and OMI for the UV/vis, and a TES-like design in the thermal infrared.

New instrument concepts incorporating wavelengths across the ultraviolet, visible, and infrared spectrums could provide the observations for multispectral retrievals. Alternatively, retrievals could combine information from several instruments each focused on different parts of the spectrum. Definition of the underlying measurement requirements for accessing some trace gas concentration information in the lowermost troposphere is one of the priority study areas identified at the GEO-CAPE workshop.

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#### 4.2 Measurements of the coastal ocean

Measurement requirements for coastal-water imaging are based on experience with three current ocean color sensors, MODIS and SeaWiFS in the U.S., and the European MERIS instrument. Participants in the ocean breakout session focused on the advantages of a GEO mission as compared with today's ocean color sensors onboard polar-orbiting satellites. A fundamental problem for observing the coastal ocean is that polar-orbiting satellites can acquire data only once per day at best. In practice viewing opportunities are much less frequent due to clouds. Even a constellation of LEO satellites cannot provide the hourly observations needed to observe variability in the coastal ocean. It is the high temporal variability that motivates a GEO mission with hourly measurements and spatial scales of a few hundred meters rather than the daily revisit and the 1-km or larger spatial sampling suitable for the open ocean. Furthermore, having multiple viewing opportunities throughout the day can mitigate the cloud problem since clouds move throughout the day.

Better spatial resolution (250-350 m) compared with today's ocean color imagers (1 km of MODIS and SeaWiFS) is needed to resolve tidal fronts, river plumes, and phytoplankton patches in the coastal ocean. The spatial resolution of 250-350 m makes it possible to sample much closer to the coast and into the bays and estuaries where the resolution of today's ocean color sensors (1000 m) is too coarse. It can also be applied to assess water quality in certain large bays and estuaries.

Two sets of measurement requirements were discussed at the workshop. The first is NOAA's operational requirements as developed by the Coastal Ocean Applications Science Team (COAST <u>http://cioss.coas.oregonstate.edu/CIOSS/coast.html</u>) led by Curtiss Davis and adopted by NOAA's National Ocean Service. The second is a more advanced set of requirements as defined by NASA's 2006 Advance Plan for the Biology and Biogeochemistry Program that represent highly advantageous goals to enable further technological development.

#### **4.2.1 NOAA's operational requirements**

NOAA's operational needs for managing the coastal environment with the use of remote sensing were used to set requirements for a Coastal Water Imager to be flown on GOES R. Key instrument requirements for that geostationary imager are listed in Table 1. The channels were selected based on experience with earlier sensors and the products from those sensors. In addition to the VNIR channels from MODIS, a 709 nm channel as found on MERIS is added to identify large phytoplankton blooms, and three SWIR channels centered at 1.24, 1.64 ad 2.13 microns are required for atmospheric correction for turbid and shallow coastal waters. The 300:1 Signal-to-Noise Ratio (SNR) is relative to a typical ocean radiance. This is similar to the SNR requirement used to build SeaWiFS. The spatial resolution of 300 m is essential for sampling complex coastal environments and is a major improvement over the 1 km resolution typical of polar orbiting ocean color sensors. The other key requirement is to sample U.S. coastal waters

(excluding Alaska which is not visible form geostationary orbit) every three hours with selected areas hourly. This will greatly improve our sampling in the highly dynamic coastal ocean compared to polar orbiting ocean color sensors that typically sample the same area once every two days.

In addition to the threshold requirements, there are goal requirements, enhanced capabilities that would greatly improve performance if they can be implemented. These include higher frequency of sampling (goal hourly), higher SNR (goal 900:1), and continuous spectral sampling (hyperspectral) from 0.407 to 0.987 microns at 0.01  $\mu$ m resolution.

**Table 1. NOAA Operational Specifications for Satellite Ocean Color Measurement.** Nominal threshold and goal specifications for GEO-CAPE ocean color imaging based on threshold and objective requirements for coastal ocean color as documented in National Ocean Service Environmental Satellite Requirements DRAFT February 8, 2005, with later review and endorsement by members of the Coastal Ocean Applications and Science Team (COAST). Some updates made August 2008, including addition of SWIR bands to threshold.

Nominal Threshold Channel Center Wavelength (um)	Nominal Threshold Resolution (um)	Nominal Threshold Signal to Noise	GOAL Channel Center Wavelength (um)	GOAL Resolution (um)	Nominal Goal Signal to Noise
0.412	0.02		0.345	0.02	
0.443	0.02		0.380	0.02	
0.490	0.02		0.407 through 0.987	0.01	
0.510	0.02		0.570	0.05	
0.555	0.555 0.02	300 to 1 all channels	1.000	0.04	
0.580	0.02		1.240	0.03	900 to 1 all channels
0.620	0.02		1.380	0.03	
0.645	0.645         0.01           0.667         0.01		1.640	0.03	
0.667			2.130	0.05	
0.678	0.01		11.200 (2 km)	0.8	
0.709	0.01		12.300 (2 km)	1	
0.750	0.02	Nominal			
0.865	0.02	Threshold			Nominal Goal Horiz.
1.240	0.03	Horiz. Desolution			Resolution: 30 m
1.640	0.03	300 m:			channels;
2.130	0.05	3 hr refresh rate			1 hr refresh rate

#### 4.2.2 Hyperspectral vs. multi-spectral measurements for ocean color

There has been a long-standing debate within the ocean color community as to whether one needs hyperspectral vs. multispectral measurements. "Hyperspectral" refers to full spectral coverage without the gaps between spectral bands in a multi-spectral sensor. It also implies relatively high spectral resolution (10 nm or better).

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Hyperspectral imaging with 10 nm or better spectral sampling has proven to be essential when imaging optically shallow waters where reflectance from the bottom adds to the complexity (Lee and Carder, 2002). In addition, the bottom reflectance greatly increases the water leaving radiance compared to optically thick waters and so also requires greater dynamic range. However when the bottom is not imaged, the MERIS band set has proven to be as good as the 10 nm hyperspectral data for water column properties. MERIS did substantially better than MODIS because it includes a 620 nm channel for suspended sediments and a 705 nm channel for phytoplankton blooms. Thus, the requirement is for the MERIS channel set which is ideal for coastal waters. Although hyperspectral imaging is not required, following the MERIS design, the instrument could be a hyperspectral imager with order 1 nm spectral sampling and the ocean channels binned on the spacecraft from that data. There are a number of advantages to this approach, and MERIS has proven to be a stable and reliable instrument using this approach. One key advantage is that the binned channels have a Gaussian (or better shape), and we do not have to deal with filter stray light issues or the possibility of the filters aging in space. A design study is needed to decide between a filter spectrometer (filter wheel or other design) and an imaging spectrometer design which might also serve atmospheric sampling requirements.

#### 4.2.3 Advanced measurement concepts

The limited spectral measurements from the current NASA suite of ocean color sensors are inadequate for addressing the science questions articulated in Table 2. Future sensors will need to expand the spectral range and resolution of radiometers used for measuring ocean color. Expansion into the MIR range will improve atmospheric corrections in coastal areas and into the UV range will help distinguish CDOM from phytoplankton pigment absorption. Higher spectral resolution will provide details of fluorescence peaks for chlorophyll and other pigments. Fluorescence bands on the NASA MODIS sensors now provide some advantage for discriminating phytoplankton blooms from other colored phenomena, such as river plumes, and in combination with measurements in the near ultraviolet (360 - 400 nm) may provide better descriptions of in-water constituents than currently available through traditional blue-green visible bands. These new developments further emphasize the importance of expanding observations in spectral range and resolution for applications in optically complex coastal waters.

Advanced ocean remote sensing missions must address atmospheric correction issues associated with absorbing aerosols, improve the separation of optically active in-water constituents, enable a broad-scale characterization of unique ecosystem conditions (e.g., separating iron- and nitrogen-limited waters), and provide appropriate space and time scale observations for interdisciplinary approaches to quantify elemental fluxes at the land-ocean interface (NASA, 2006).

#### 4.3 Mission Design Study

A mission design study for a concept conforming to the GEO-CAPE mission as outlined in the NAS Decadal Survey was completed under NASA HQ support at the Goddard Instrument Synthesis and Analysis Laboratory and Integrated Mission Design Center (see "An Advanced Earth Science Mission Concept Study: Geostationary Multi-discipline Observatory" "at http://geo-cape.larc.nasa.gov/events-18AUG2008workshop-ReviewDocuments.html). The study guidance was to combine instrumentation for atmospheric composition and ocean color to enable coastal ocean science and enhance atmospheric science. Terrestrial biosphere science, which was not explicitly regarded in the NAS document, was also considered in the design study. The instrumentation concept was a combination of medium-resolution (5 km) continental scanning instruments, primarily for atmospheric composition, with a high-resolution (300-m) regional viewing spectrometer driven by the coastal ocean science requirements. The very high spatial resolution instrument was envisioned as a programmable geosynchronous multi-disciplinary observatory, which would be a shared resource for regular observations, special observing studies, and emergencies. Precursor designs were found in ESEI, COCOA, GEOCarb documents. The instrument suite would meet or exceed discipline science measurement requirements to produce potential groundbreaking new science in each discipline plus synergies.

The instrument suite used in the study was 1) a scanning UV/Visible spectrometer (300 - 480 nm) to detect total column O<sub>3</sub>, NO<sub>2</sub>, HCHO, SO<sub>2</sub>, and aerosol; 2) a gas correlation filter radiometer measuring in reflected near-IR and thermal IR emission to sense atmospheric CO total column to surface and mid- and upper-troposphere weighted capable of separating boundary layer from free troposphere abundance; 3) a scanning, high resolution multi discipline imaging spectrometer to measure ecosystem-scale fields of interest using three focal planes in the UV, Visible, and Near-Infrared. This instrument complement corresponds closely to GEO-CAPE.

The mission implementation requires a single satellite in geostationary Earth orbit (GEO) that will accommodate the mass, power, volume, and data requirements of the instrument suite. A 100° West Longitude GEO orbit was specified, launching in 2014. The mission would have a two-year operating lifetime design (single string with selective redundancy) and consumables for a five-year lifetime goal to detect interannual variability. In addition to providing archived data for scientific research, the mission would also produce direct broadcast and near-real-time data with a dedicated ground station for operational applications such as air quality forecasting. Propellant was specified for station keeping and maneuvering to parking orbit at the end of mission (~300 km above GEO altitude). An Atlas V 401 or Delta IV 4040-12 launch vehicle would accommodate the spacecraft and payload. No particular technology development needs were identified for the spacecraft pointing requirements, ground system architecture, and mission operations were examined and no "show-stoppers" were found. In addition to the space instrument segment of the mission, a vigorous program of calibration (pre-flight and on-

orbit), validation, and supporting research and analysis is required for success. International cooperation is highly desirable.

Several open questions remain: Can the mission be made more affordable? We need to iterate science requirements versus instrument performance/size. Do the instruments have to fly together or can some of them be flown as hosted payloads on commercial communication satellites? Can other instrument concepts fulfill measurement requirements and what is the cost, benefit, risk assessment? What is the priority and feasibility of boundary layer  $O_3$  measurement? Community input, observing system simulation, and demonstration measurements from airborne platforms are recommended to answer these questions. Finally, the option to reposition the GEO longitude to view other parts of the Earth is conceivable, but further mission design study would be needed to determine drift rates, fuel load, and ground communication requirements.

To achieve the stated goal of a quantitative assessment of GEO-CAPE's readiness to proceed to Phase A, including a mission concept of operations, concerted instrument and mission engineering design studies will be needed. The science definition studies discussed above will lead to more precise mission and instrument requirements, which will be input to these design studies.

#### **5.** Synergies

#### 5.1 Interdisciplinary synergies within the GEO-CAPE mission

The importance of knowing atmospheric composition for observing ocean color is what motivated the NRC to recommend combining two geostationary mission concepts into one, the GEO-CAPE mission. This is because over the ocean, reflectance from the atmosphere dominates the top-of-atmosphere signal in the UV-visible part of the spectrum and must be subtracted before the ocean color signal can be analyzed.

The process of estimating and removing the atmospheric signal, so-called "atmospheric correction," involves two components. The component due to scattering by gas molecules (or Rayleigh scattering) is estimated based on the path lengths involved (sun to surface to satellite), surface pressure, and absorbing gases in certain bands (e.g., O<sub>3</sub>, NO<sub>2</sub>). Scattering and absorption by aerosols presents a more challenging component to estimate. Aerosol scattering is addressed by assuming that the water is black (totally absorbing) in the near- and middle infrared bands. Thus, any signal detected in those bands is attributed to atmospheric scattering, and after subtracting the Rayleigh signal, what remains is the aerosol scattering component. With two or more bands in the NIR or MIR, the spectral slope (Angstrom coefficient) of the aerosol scattering function is estimated, and aerosol scattering is then extrapolated to shorter wavelengths. More recent techniques employ aerosol scattering models for different types of aerosols (marine, continental, etc.) and water vapor amounts. The presence of absorbing aerosols adds a complication that is not resolved as yet in ocean color algorithms, and is expected to be especially critical in coastal areas. Ideally, one should know the scattering and absorption properties of the aerosols present and their vertical profile.

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Coastal aerosol mixtures are more complex than simple marine aerosols, and this has implications both for the radiant energy incident on the surface (i.e., photosynthetic available radiation for primary productivity) as well as the atmospheric correction algorithms. The capability of measuring aerosol absorption from space using near UV observations has been demonstrated by the TOMS and OMI sensors. Thus, extending the spectral coverage of an ocean color sensor to the near UV enhances the accuracy of retrieved parameters as the aerosol absorption effects can be accounted for in a more direct way.

Information about atmospheric constituents is also important for understanding variability in the coastal ocean. Air pollution carried offshore often contains nutrients such as nitrogen that are known to stimulate phytoplankton growth (figure 5). The GEO perspective will allow us to consider such aeolian inputs relative to those from upwelling, runoff, sewage, and other sources.



Figure 5. Strong seasonal Santa Ana winds off Southern California transport nutrientladen dust and air pollutants from inland deserts into coastal zones as shown in this SeaWiFS image from 25 November 2002. These winds impact primary productivity (potentially through both chemical and physical forcing). A true-color composite is shown on the left and the derived chlorophyll on the right.

#### 5.2 Synergies with current and future U.S. Missions

While the above considerations favor a platform in GEO for AQ and coastal purposes, continued global observational capability from LEO will be required and is complimentary. This is essential for observation of intercontinental transport and providing boundary conditions for the GEO field of regard. In these cases improved coverage is probably more important than high horizontal and/or temporal measurement resolution. This is especially true away from source regions and over oceans where the surface is relatively uniform and trace gas distributions change on transport time-scales of several days. In these cases, a few observations per day should be sufficient. After delivery of emissions to the free troposphere through frontal lifting and convection, longrange transport often takes place in thin layers that retain their integrity over intercontinental scales. Continued limb observations from LEO may offer the needed vertical resolution to characterize such layers in cloud-free regions, although limb observations are typically limited to altitudes above 6-8 km and much of the intercontinental transport of pollution takes place at lower altitudes. To maintain the LEO observational capability, the NRC called for a low-earth orbit mission (Aerosol-Cloud-Ecosystems, ACE) in the 2013-2016 timeframe for global measurements of aerosols, clouds, and ocean color, and another satellite similar to Aura to be launched in the 2020 timeframe (Global Atmospheric Composition Mission, GACM). Also planned for the second tier of missions is HyspIRI (Hyperspectral Infrared Imager) that complements GEO-CAPE with high spatial resolution global coverage.

#### **5.3 GEO-CAPE International participation and contribution**

#### • GEO/GEOSS

The Group on Earth Observations (GEO) coordinates an international effort to build a Global Earth Observation System of Systems (GEOSS). This emerging infrastructure will employ existing and future environmental global monitoring systems from ground, oceans, aircraft, and space. These systems will be interconnected through a growing array of capabilities for monitoring and forecasting changes in the global environment. This "system of systems" supports policymakers, resource managers, researchers, and decision-makers through a coherent system of data distribution facilities.

GEO is organized to address nine societal benefit areas (SBA's) with corresponding GEOSS themes; Disasters, Health, Energy, Climate, Water, Ecosystems, Agriculture, Biodiversity, and Weather. GEO-CAPE with its multi-spectral instruments, high spatial resolution, and unique ability for near continuous observations will make tangible contributions to at least the first eight of these SBA's and possibly even to Weather as described throughout this report. GEO-CAPE is committed to contributing to GEO by making its data interoperable with GEO standards and its data distribution system in addition to complying with its data sharing principals:

http://www.earthobservations.org/geoss\_dsp.shtml

To make significant advances in local-scale atmospheric composition studies and to aid AQ characterization for assessments, forecasting, and regulation to support air program management and public health advisories, a scientific and observing framework will be required that is analogous to that currently used for weather forecasting. As part of GEOSS, a similar capability for AQ constituents will be required for AQ characterization and "chemical weather" forecasting. The Boulder Air Quality Remote Sensing From Space workshop in February 2006 [*Edwards*, 2006] identified four principal areas in which satellite observations are crucial for future AQ basic research and operational needs: (1) AQ characterization for retrospective assessments and forecasting to support air program management and public health advisories; (2) quantification of emissions of ozone and aerosol precursors; (3) long-range transport of pollutants extending from regional to global scales; and (4) large puff releases from environmental disasters.

A major contribution to the GEOSS will be the U.S. Integrated Ocean Observing System (IOOS) as part of the Global Ocean Observing System. Planning for IOOS has been underway for at least a decade. In 1998, the National Ocean Research Leadership Council was requested by Congress to provide a plan "to achieve a truly integrated ocean observing system." Subsequent studies led to the establishment in 2000 of the interagency planning office known as *Ocean.US* "to develop a national capability for integrated ocean observing system received further impetus by recommendations of the Pew Oceans Commission in 2003 and the U.S. Commission on Ocean Policy in 2004. In response to these recommendations, the President's Ocean Action Plan calls for the implementation of the IOOS and charges the newly formed Joint Subcommittee on Ocean Science and Technology to develop a "strategy for integration and possible convergence of existing and future requisite coastal observing systems of the IOOS."

Creation of GEOSS as a system of systems requires integration not only across observing systems but also across the research enterprise that will both formulate the needs for these measurements and use them to advance understanding and prediction. While the value of an integrated Earth observing system is unquestionable, the fundamental pragmatic challenge is to bring into being the "system of systems" that produces needed measurement and prediction services. As in building any complex system, there is one well-recognized successful strategy: (a) build component subsystems that can be assembled into larger systems, while (b) ensuring that efforts are directed at the end objective, and not on individual elements as ends in themselves. GEO-CAPE as well as other satellite missions will be components of GEOSS.

#### • CEOS

The Committee for Earth Observing Satellites (CEOS) encompasses the world's government agencies responsible for civil Earth Observation (EO) satellite programs, along with agencies that receive and process data acquired remotely from space

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http://www.ceos.org/. CEOS is committed to providing the space component GEOSS as described above by means of its space assets and data distribution systems. Participating agencies strive to address critical scientific and operational questions and to plan satellite missions in a coordinated way to add value to data over the data being used separately. In order to achieve this goal and identify emerging data gaps, CEOS has established the concept of Virtual Constellations for GEO, whereby a number of satellite instruments and their observations, when coordinated and combined will result in enhanced data applicable for science and applications. CEOS has established six constellations covering the land, precipitation, oceans, and the atmosphere.

The constellations most relevant to GEO-CAPE are the Atmospheric Composition (ACC) and the Ocean Color Radiometry (OCR-VC) Virtual Constellations (*websites available soon*). The ACC objective is to collect and deliver data to improve predictive capabilities for coupled changes in the Ozone Layer, Air Quality, and Climate Forcing associated with changes in the environment. ACC is conducting four pilot projects which demonstrate synergy by using data from multiple platforms which will provide added value to science and application users. The OCR-VC will provide calibrated ocean-color radiances (OCR) at key wavelength bands. Ocean color radiance is the wavelength-dependent solar energy reflected by the sea surface. These water-leaving radiances contain latent information on the optical constituents of the sea water, in particular the pigments (primarily chlorophyll-a) contained in the phytoplankton. The OCR-VC will collect, re-process and merge data from multiple US and international satellite for a consistent, calibrated time series required for multiple ocean color products.

Both constellations will make use existing satellites as well as those planned by the international community. A data base describing these missions can be found at: <u>http://database.eohandbook.com/</u> GEO-CAPE will be highly complementary to any of those missions measuring ocean color and atmosphere composition and are discussed below.

#### International partners

A review of existing and planned missions shows the following complementary opportunities, in both GEO and LEO orbits that may occur in the GEO-CAPE timeframe. A representative from Eumetsat attended the Workshop and described the up-coming Sentinel 4 and 5 missions, which are described below.

The European Union (EU) will be launching their Sentinel 4 mission on a Eumetsat platform (MTG) in a GEO located over 0 degrees longitude in the 2025 timeframe. Present plans show a UV, VIS, IR sounders similar to the those proposed for GEO-CAPE except will not have the high spatial resolution. China will launch their FY4 mission located over -105 degrees longitude where the O series may carry trace gas measurements in the 2020 timeframe. GEO-CAPE with these two missions could potentially observe nearly the entire globe and monitor long range transport of plumes in less than hourly intervals. GEO missions measuring atmosphere composition and ocean color will also be highly complementary with LEO missions carrying similar instruments.

The Sentinel 5 mission in LEO planned by EU in the 2022 time frame will likely fly in a morning orbit carrying UV, VIS, and IR instruments for atmospheric composition much like Sentinel 4 and GEO-CAPE. The NASA GACM, in LEO, will be much like Sentinel-5 and should be launched into an afternoon orbit. In addition to being complementary these two missions would provide excellent cross calibration and validation.

For Ocean Color the EU will be launching the Sentinel 3 series in LEO starting in about 2012 with VIS and thermal IR in. Three missions will be launched in 2 year centers into morning orbits which will minimize sea glint and cloud cover. JAXA is planning GCOM-C series of three satellites starting in 2014. GCOM-C will carry the second generation Global Imager (SGLI) which will have a 250 m spatial resolution (500 m for thermal infrared) and polarization/along-track slant view channels (red and near-infrared), which will improve coastal ocean, land, and aerosol observations.

The first geostationary ocean color sensor will be the Korean Geostationary Ocean Color Imager (GOCI) to be launched on the Communication Ocean and Meteorological Satellite (COMS) in late 2009. GOCI is filter wheel design imager with 8 ocean color channels similar to the MODIS ocean channel set and a high SNR suitable for ocean imaging. It will sample the area around Korea at 300 m GSD. GOCI will be an excellent first test to evaluate the feasibility and utility of ocean color imaging from GEO.

For both atmospheric composition and ocean color, these missions will afford many opportunities for collaboration across international space agencies and the science and applications communities. These would include pre-launch calibrations, post launch validation, algorithm development, and data distribution. This collaboration will result in unprecedented data sets for climate and environmental research as well application for societal benefits.

#### 6. Societal Benefits of GEO-CAPE

The use of Earth science data for applications will first require gaining an understanding how research-level data can be used in a successful operational environment (NRC, 2007). Extracting societal benefit from space-borne measurements necessitates, as an equally important second step, the development of a strong link between the measurements and decision makers who will use such measurements. Applications development places new responsibilities on agencies to balance applications demands with scientific priorities and the character of missions may change in significant ways if societal needs are given equal priority with scientific needs. As this new paradigm evolves, the numbers of published papers, scientific citation indices, and professional acclamation from scientific peers, will not be enough to evaluate the success of the missions that have been recommended. The degree to which human welfare has been improved and the effectiveness of protecting property and saving lives will become equally important criteria for a successful Earth science and observations program.

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#### 6.1 Societal benefits – Air Quality perspective

Observations in the broadest sense are used to characterize and explain current and changing environmental states and provide a basis for:

- 1. Associating human health and environmental welfare effects with air quality, which is the basis for developing U.S. National Ambient Air Quality Standards
- 2. Determining an area's compliance with standards
- 3. Developing emission reduction strategies by supporting source apportionment studies, air quality model evaluation and application
- 4. Assessing progress in response to implemented emission strategies
- 5. Forecasting air quality to inform public of adverse air pollution exposures
- 6. Elucidating atmospheric processes to improve air quality modeling systems

The following emerging challenges in air quality management are influenced by an assortment of factors requiring a more comprehensive and well integrated assessment basis:

- *Multiple pollutants* commonality and co-dependencies of sources, fate and transformation processes and effects shared by several air quality species
- *Multiple Media* direct and reciprocal influences across atmosphere and terrestrial and aquatic systems (e.g., atmospheric deposition of excess acids, nutrients, PBTs; re-emission of deposited mercury and persistent organic compounds (POPs), meteorological and air chemistry influences on biogenic emissions).
- *Multiple spatial scales* increasing contribution of continental scale transport to regional and urban air quality; heightened concern of complex near source/roadway environments
- *Climate-air quality* accounting for the bi-directional impacts between air quality and climate change. The air quality impacts being induced by both chemical and physical processes modified by climate change as well as climate mitigation strategies focused primarily on climate forcing gases that modify other air quality emissions.

Threaded throughout these emerging challenges is the basic role of observations in accountability analyses that attempt to assess progress of air quality management policies and regulations. Observation strategies should enable detection of atmospheric chemistry changes brought about by new technologies and new fuels driven by policies and regulations addressing air quality or climate improvements.

Given the variety and importance of linkages across pollutants, time and space regimes and surface – atmospheric systems, there is a basic need for constant improvement in parameterizing the physical and chemical processes underlying pollutant production, transformation, fate and removal. The complexity and diversity of air quality issues

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requires complementary use of deterministic models and observations. The modelobservation system interface ranges from using observations as a model evaluation tool, to various levels of assimilation ranging from inverse construction of emissions inputs, post processing operations to improve spatial/temporal concentration patterns (modelobservation fusion) to more dynamic assimilation of observations used in meteorological modeling.

These emerging air quality issues and model-observation systems will benefit from a geosynchronous satellite air quality mission. In a very broad sense, enhanced spatial and temporal air quality characterizations benefit all of the general needs listed above for the air quality research and management community – the inference here that greater temporal (and spatial) resolution from geostationary orbits is intuitively beneficial. While true, the real payoff from a geosynchronous mission will be the added insight into atmospheric processes where formative events are masked or simply missed with limited time slices provided by polar orbiting platforms. The major contribution of GEOCAPE will reside in improvements to the model evaluation process that benefits from both the added constraints in time and total atmospheric column loadings which leads to improved model input fields (e.g., inverse emission inputs) and atmospheric process

#### 6.2 Societal benefits – Coastal ecosystems perspective

Coastal ecosystems are one of the most important ecosystems on the planet for a multitude of reasons. Humans exploit the high productivity of the coastal ocean; over half the global fish harvest is from coastal waters, and in many countries, fish are the main source of animal protein. It is no mystery, therefore, that countries such as Japan, Korea, India, and China have considered ocean color remote sensing as a high priority.

In the U.S., NMFS is charged with regulating fisheries. To date they have regulated fisheries on a species by species basis, basically looking at the catch statistics and health of particular species. However, NMFS has now been charged with moving to "ecosystem-based management" of fisheries. To this end, NMFS managers are being trained in the use of satellite data as one tool for understanding coastal ecosystems and predicting the health of fish populations.

Another group in NOAA is using ocean color remote sensing data to assess the distribution and extent of Harmful Algal Blooms (HABs). They are providing data to managers, who confirm the nature of the bloom with *in situ* measurements and then take appropriate management actions including closing shellfish beds to harvesting and closing beaches. Others are assessing the dynamics of river plumes, evaluating water clarity and a host of other applications. All of these applications would benefit greatly by more frequent data at higher resolution such as that available from GEO-CAPE.

Other societal benefits include the ability to track oil spills and pollutants entering the ocean, to observe the effects of storms on coastal flooding and shoreline erosion, and in

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general to monitor natural and anthropogenic forces acting at the land-ocean interface. "Climate change combined with continuing growth of populations in coastal areas creates an imperative to monitor changes in the coastal ocean." (NRC, 2007). Observations provided by the GEO-CAPE mission will allow the development of capabilities for modeling ecological and biogeochemical processes.

#### 7. Recommendations for Near-Term Studies

#### 7.1 Generation of datasets for analysis

- a. Develop a regional scale synthetic dataset that can be used by the community to develop OSSE's specifically for evaluating what can be measured from geostationary orbit using measurement capabilities from existing LEO instruments as well as new instruments that are currently in development stage.
- b. Conduct a study to statistically characterize spatial and temporal variability of the targeted atmospheric constituents over relevant ranges of scales to quantify observing requirements.
- c. Use coastal ecosystem models to demonstrate how a geostationary sensor can contribute to understanding coastal ocean biology and biogeochemistry. Demonstrate how sub-diurnal (hourly) data can be used to quantify rates of biological and physical processes, and how high temporal resolution is needed to understand and predict longer-term variability and change.
- d. Pursue the development of GEO-CAPE airborne satellite-simulator instruments in a stepwise approach with the objective of performing a science demonstration using airborne data for algorithm testing and refinement, uncertainty analysis, and model comparisons. Specifically, a CO instrument should fly with UV/Vis if possible and similarly for other candidate instruments when available.
- e. Conduct coordinated ship and aircraft campaigns to refine the mission science questions and establish measurement, mission, and instrument requirements for the coastal ocean science. These campaigns should acquire data at high temporal, spatial and spectral resolution to characterize the variability observed from GEO, and to determine the necessary time-space sampling scales to capture the variability of coastal ocean physical, biological and geochemical processes.

#### 7.2 Measurement strategies and algorithm development

- a. Conduct a study to determine how well PBL  $O_3$  might be measured from space and the sensitivity of the surface ozone concentration to the concentrations at different altitudes. Investigate a wide range of combinations of bands (UV/Vis, 3.6 µm, 9.6 µm) to see which methods provide the best sensitivity to  $O_3$  in the lowermost troposphere.
- b. Determine the instrument parameters in the various spectral regions that will be necessary to measure atmospheric constituents with enough accuracy to be useful for scientific studies. Results from this analysis will lead to viable trade studies that can be conducted once the mission objectives are defined.
- c. Evaluate the utility of hyperspectral versus multi-spectral radiometric measurements and the spectral resolution required to derive measurements of interest for coastal ocean science.
- d. Develop improved atmospheric correction algorithms for ocean color based on the improved temporal and spatial resolution data from GEO along with expanded spectral coverage in the UV, MIR and SWIR. Investigate the advantage of

resolving the synoptic movement of air masses on and off shore towards improved atmospheric correction algorithms.

- e. Connect ongoing ocean color retrieval development with framework used in atmospheric retrieval stretch goal of retrieving ocean and atmosphere jointly if it is warranted.
- f. Use imagery from the Korean Geostationary Ocean Color Imager (GOCI), when it becomes available in late 2009, to assess the feasibility and utility of ocean color imaging from GEO. Analysis should include direct comparisons with MODIS and MERIS data to assess radiometric accuracy, pointing stability and other considerations. Analysis should also focus on evaluating the advantages of high frequency sampling from GEO.

#### 7.3 Observing strategy

- a. Determine the advantages and disadvantages of developing an observing strategy that continually "stares" at large portions of the planet versus a capability that observes only a portion of the hemisphere at one particular time and then focuses on another region at a different time. Can different instruments work with different observational strategies?
- b. Determine observing requirements for identification of sources such as lightning, transport resulting from stratosphere-troposphere exchange and processes such as wet removal of aerosols and other trace constituents
- c. Investigate strategies for deciding where high-frequency (hourly) observations of the coastal ocean can be made. One strategy is to use the synoptic weather maps derived from GOES to locate the areas that are cloud free and then sample continuously throughout the day. Simulations can be conducted and different strategies evaluated using existing GOES data. Demonstrate how the GEO perspective enables more observations because clouds move throughout the day.

#### 7.4 Mission Requirements

- **a.** Determine measurement sensitivity requirements for HCHO, SO2, glyoxal to quantify sources.
- **b.** Conduct initial inverse modeling studies to see how accurately emissions can be determined and what the minimum source strength of emissions is to be detectable.
- **c.** Determine what atmosphere-coastal ocean synergistic science is possible with the event imaging, high-resolution instrument and what are the measurement requirements?

#### 7.5 Access to space

- a. Conduct accommodation studies for planned GOES platforms.
- b. Pursue the hosted-payload concept aboard communication satellites to see if a more affordable method for getting an instrument payload into to geosynchronous orbit can be achieved.

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#### Appendix A.

#### GEO-CAPE Workshop University of North Carolina-Chapel Hill 18-20 August 2008

#### Day1, Morning:

8:00-8:30	Registration					
8:30-8:40	Welcome/Intro/Objectives of workshop	Ken Jucks/Paula Bontempi	NASA HQ			
8:40-8:50	Mission Directives	Steve Volz	NASA HQ			
8:50-9:20.	Atmospheric Composition from the Geo Perspective	Jack Fishman	NASA LaRC			
9:20-9:50	Ocean Biogeochemistry from the Geo Perspective	Francisco Chavez	MBARI			
9:50-10:20	Break					
	What's in the Decadal Survey?					
10:20-10:50	Air Quality/Atmospheric Composition	Daniel Jacob	Harvard			
10:50-11:20	Ocean Biology/Biogeochemistry	Janet Campbell	UNH			
11:20-11:40	Application perspective from EPA	Pai-Yei Whung	EPA			
11:40-12	Application perspective from NASA	Lawrence Friedl	NASA HQ			
12-1:15	Lunch Break					
Day 1, Afterno	<u>on</u>					
1:15-1:30	Charge to breakout groups, outline of breakout activity, grouping	g, objectives. Kawa/Fishman/C	ampbell			
1:30-3:30	<b>Breakout session I (by discipline):</b> Articulate mission science questions and science objectives for each discipline Define observations/measurements (i.e., what needs to be measured) for these objectives Begin to define measurement requirements for observations needed Begin to outline potential synergies between different disciplines Open questions					
3:30-4:00	Break					
4:00-5:30	Plenary joint session: report of breakout session I and discussion Begin to identify interlocking and interdependent mission objectives and requirements Inform each discipline of the other's objectives and requirements					
Early Evening	Posters and side discussions					
<u>Day 2, Mornin</u>	g					
Synergies: Dec	adal Survey Missions, International Linkages/Partnersh	ips, Cross-Disciplinary				
8:30-9:30	Presentations on ESA Sentinel Series and others					
	Sentinel 3 - Ocean Observations Sentinels 4 & 5 Geosynhronous Air Quality Observations & LE	Samantha Lavender	ARGANS Ltd/University of F			
	observations	- Rose Monro	Eumetsat			

Eumetsat Bremen

Heinrich Bovensmann

Experience from SCIAMACHY and proposed GEOSCIA

9:30-10	Synergies with the related DS missions		
	ACE	Schoeberl/McClain	GSFC
	GACM	Nathaniel Livesey	JPL
10-10:30	Break		
10:30-11:30	Overarching talks on perspective from applications perspec	tive	
	EPA Air Quality perspective	Rob Pinder	EPA
	NOAA Air Quality perspective	Mitch Goldberg	NOAA
	NOAA Ocean pespective	Paul DiGiacomo	NOAA/NESDIS
11:30-12	Reviews of previous NASA mission architecture studies	Randy Kawa	GSFC
12:00-1:15	Lunch		
Day 2, Afternoo	<u>n</u>		
1:15-1:45	Retrievals and Synergies Overview talks by David Edwards (atmospheric) and Chuck McC	lain (ocean)	
1:45-4:30	Potential measurements options for this mission (15 minute What is measurable by what remote sensing techniques?	talks)	
	a) Boundary layer O3 measurements in the UV/Vis.	PK Bhartia	GSFC
	b) O3 measurements in thermal IR (e.g., TES, AIRS).	Annmarie Eldering	JPL
	c) NO2 and CH2O measurements in the UV/Vis	Kelly Chance	SAO
	d) CO measurements in NIR and emission bands.	David Edwards	NCAR
	e) Aerosol measurements (UV, vis, NIR). Break	Omar Torres	Hampton
	f) Ocean biological properties	Ru Morrison	UNH
	g) Ocean biogeochemical properties	Carlos Del Castillo	APL
	h) atm correction, ocean color	Zia Ahmed	GSFC
	i) land sea interface	Joe Salisbury	UNH
4:30-5:30	Discussion Session II (cross-discipline): Retrievals and Syno	ergies	
	Goal: Refine disciplinary observations and measurement require	mentsand determine missior	n requirements
	Goal: Ocean/Atm synergy discussion: Regional aerosols, NOx, or nutrients to the coastal ocean are some topics of interest.	zone and the possible aerial t	transport of
Early Evening	Posters and side discussions		

#### Day 3, Morning

8:30-10:30	Discussion III: Summary and Next Steps	Open with recap of days 1 and 2 discussion				
	Instrument requirements					
	Traceability matrix					
	Prioritizing future studies, both modelling and technology					
	Technology gaps					
10:30-11:15	Break					
11:15-11:45	Outline presentations of recap of Discussion III and start of work	shop report				
11:45-12:00	Closing by Ken and Paula					

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## **Appendix B: List of Participants**

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## **Appendix C – Traceability Matrix**

Science Questions	Mission Objectives	Measurement Requirements	Mission Requirements	Instrument Requirements	Mission Concept
What are the diurnal emission patterns of the precursor chemicals for tropospheric ozone, aerosols, & air quality pollutants? What are the diurnal processes that impact	Quantify the emission of ozone and aerosol precursors, and air quality pollutants throughout the day over North & South America and the adjacent ocean.	$O_3$ , NO <sub>2</sub> , HCHO, CO partial columns; $O_3$ and CO with sensitivity in the planetary boundary	Coverage of North and South America and adjacent ocean at spatial scales of 10 km or better. Simultaneous constituent measurements	High-precision radiometer with SNR>1000:1 0.5 nm spectral resolution in the UV- VIS-NIR	Instruments in geostationary orbit continuously observe populous N & S America coast-to- coast; and upwind and downwind of continent. UV/Visible spectrometer measures O3, NO2, HCHO, SO2, and aerosol column density; plus CO, O3 detectors for BL and free troposphere
the evolution of gaseous & particulate emissions through chemical formation and loss, transport, and deposition, and how are processes impacted in a changing worlk?	of these atmospheric constituents as they are transported & transformed through- out the day over North and South America and the surrounding ocean.	planetary boundary layer (PBL); aerosol optical depth	measurements Hourly or more frequent daytime coverage; nighttime sampling for CO	Thermal IR radiometer for CO and $O_3$ measurements	
What processes affect and control the biology and biogeochemistry of aquatic coastal zones, and how are they modulated by natural and anthropogenic forcings?	Characterize variability in primary productivity, phytoplankton biomass, and carbon pools in the coastal ocean in conjunction with measurements of natural and anthropogenic forcings.	Multi-spectral UV-VIS water leaving radiances; column O <sub>3</sub> , NO <sub>2</sub> and other absorbing trace gases and NIR-SWIR radiances for atmospheric corrections.	Observe dynamic coastal regions during cloud-free viewing opportunities. Measure variability at hourly temporal resolution and spatial scales of ~ 250-500m. Monitor instrument stability and adjust calibration with solar, lunar, and surface observations.	High-precision radiometer with SNR>1000:1 (340-1000nm) ~10 bands in the UV- VIS-NIR with 10 nm spectral resolution; SWIR bands: 1240, 1640 and 2130 nm for atmos. corrections Radiometric stability of <0.1% band-to- band, polarization of <1% below 700nm;	Advanced ocean color remote sensing from geostationary orbit provides the temporal resolution needed to resolve variability in biology and biogeochemistry driven by multiple processes in coastal ocean (tides, winds, upwellings, and input from rivers and atmosphere).

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Appendix C – Traceability Matrix (continued)

Science Questions	Mission Objectives	Measurement Requirements	Mission Requirements	Instrument Requirements	Mission Concept
How do climate variability, human activities, weather, and the episodic releases from fires and volcanoes affect air quality, river discharge, water quality, and the ecology and biogeochemistry of coastal ecosystems, and what are the feedbacks?	Characterize changes in the atmospheric chemistry, hydrology, and coastal ocean biogeochemistry in response to climate variability, human activity, weather events, and episodic input from fires and volcanoes.	All of above atmospheric and oceanic measurements	Continuous daytime coverage; ability to focus on regions affected by episodic weather events or input from fires or volcanoes.	Same as above plus the ability to point or stare at focus areas of interest for extended periods of time.	Atmosphere and ocean observations from geostationary orbit provide the temporal resolution needed to capture responses to storms and other episodic events.