

A BRIEF APPRECIATION OF THE LIDAR RATIO

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Third-party content from China et al. (2013), Groß et al. (2013), Haarig et al. (2017), Omar et al. (2009),
Reid et al. (2003), NASA Earth Observatory, and NASA Ames Sunphotometer Satellite Group.
Full references on last slide.

Lidar ratio

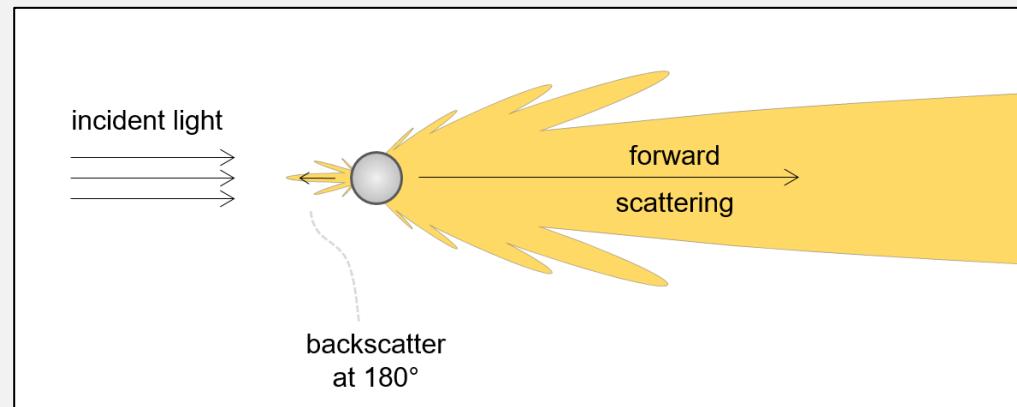
Dry academic definition:

“...the ratio of the extinction-to-backscatter coefficient, for atmospheric scatterers.... It represents the ratio of the volume-extinction cross section... and the 180° volume-backscatter cross section.”

- AMS Glossary

https://glossary.ametsoc.org/wiki/Lidar_ratio

$$S_{aer} = \frac{\sigma_{aer}}{\beta_{aer}}$$



Fernald, F., Herman, B., and Reagan, J., "Determination of aerosol height distributions by lidar." Journal of Applied Meteorology and Climatology 11.3 (1972): 482-489.

Representative lidar ratios



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Water clouds

19 sr



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Sea salt

23 sr



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Dust

40-60 sr



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Smoke

70 sr

$\lambda = 532 \text{ nm}$

Representative lidar ratios



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Water clouds
9-29 sr



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Sea salt
18-28 sr



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Dust
40-60 sr



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Smoke
55-85 sr

$\lambda = 532 \text{ nm}$

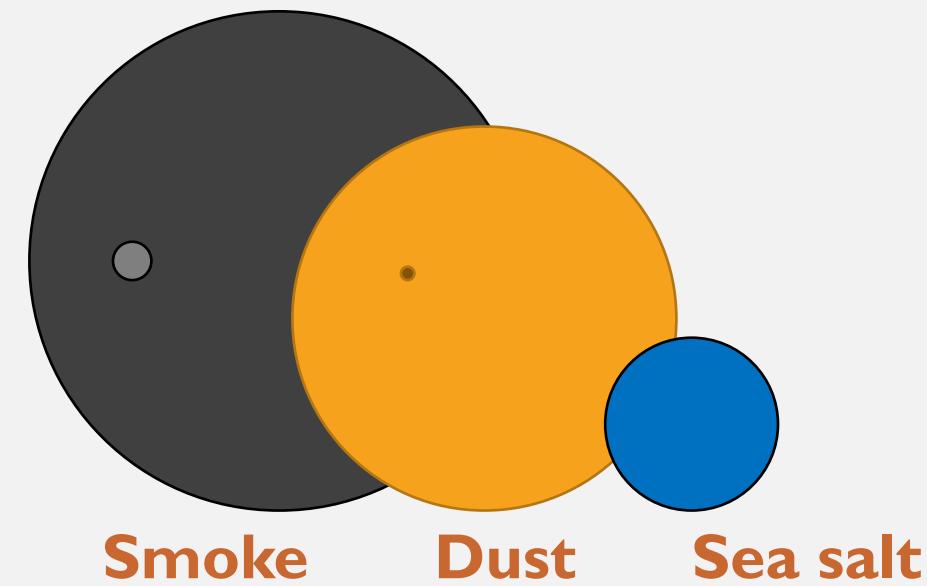
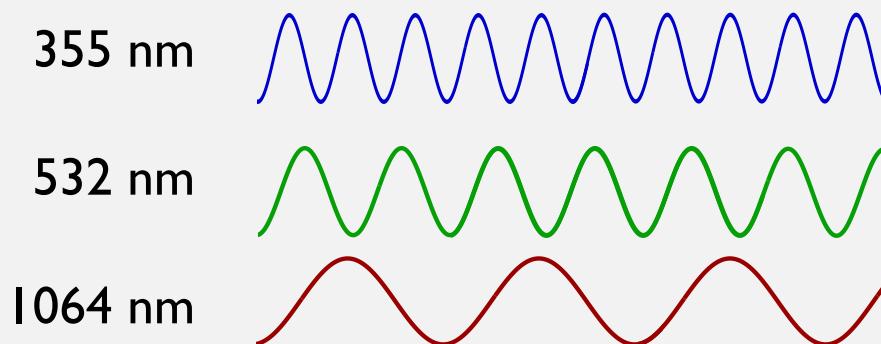
Why do lidar ratios vary?

Particle size

Composition

Shape

Light scattering depends on the size of the particle relative to the wavelength of light



Typical sizes relative to wavelength

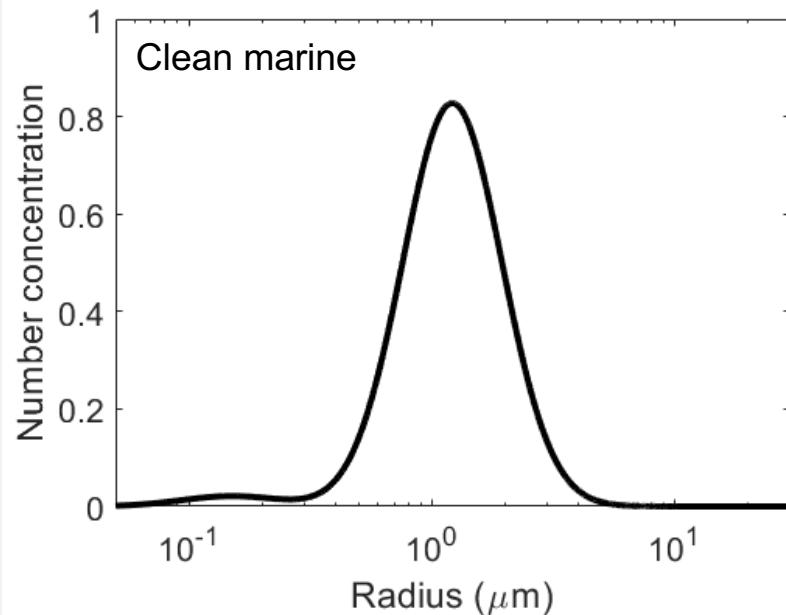
Why do lidar ratios vary?

Particle size distribution

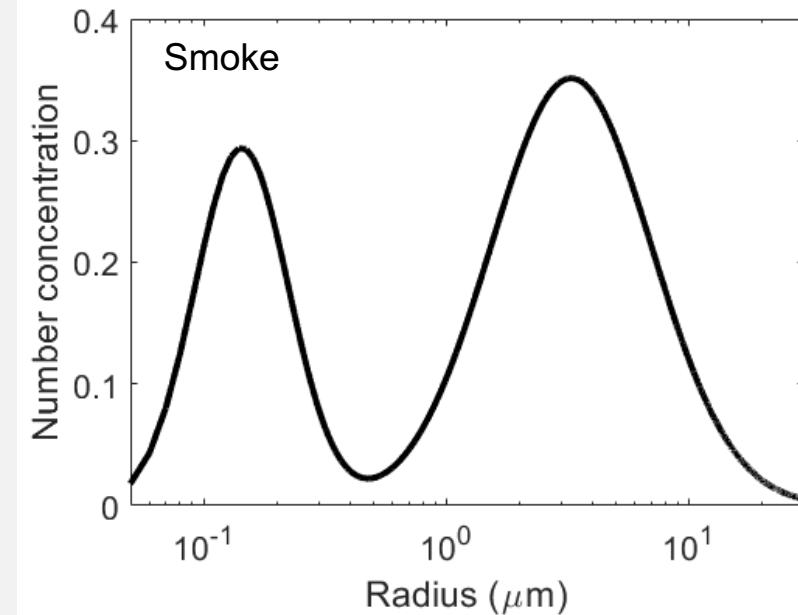
Composition

Shape

Coarse mode dominant



Prominent fine mode



Adapted from size distributions in Table I of Omar et al, 2009: **The CALIPSO Automated Aerosol Classification and Lidar Ratio Selection Algorithm**, J. Atmos. Oceanic Technol., 26, 1994-2014, <https://doi.org/10.1175/2009JTECHA1231.1>.

Why do lidar ratios vary?

Size distribution

Composition

Shape

Really, it's just the
index of refraction

$$m_\lambda = n + ik$$

Sea salt

Sodium chloride

Dust

Hematite, calcite,
quartz

Smoke

Black carbon,
brown carbon

Clouds

H_2O

Why do lidar ratios vary?

Size distribution

Composition

Shape

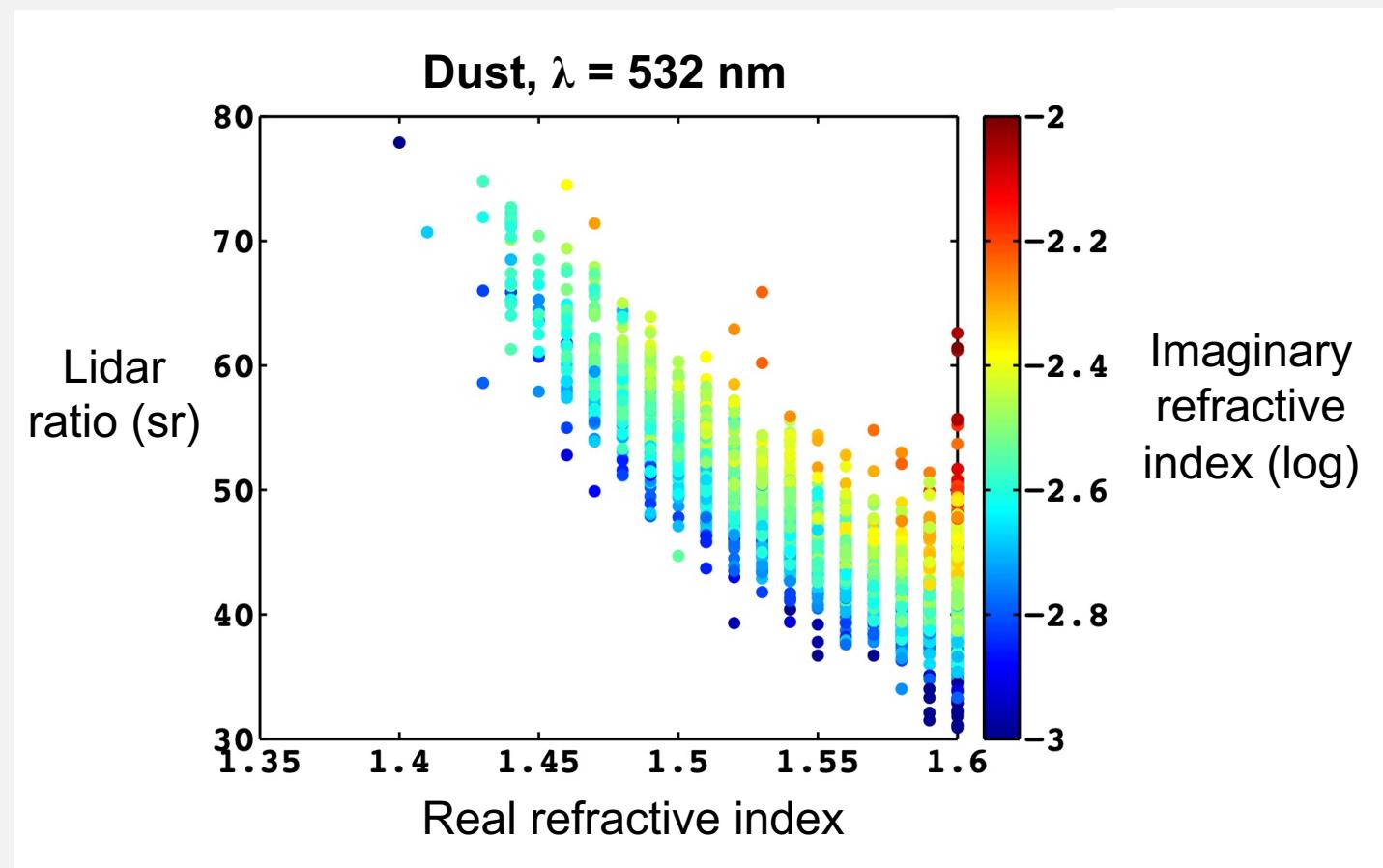


Figure courtesy of Greg Schuster

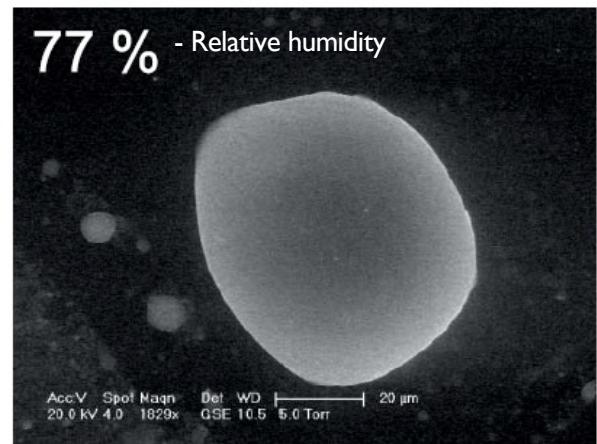
Why do lidar ratios vary?

Size distribution

Composition

Shape

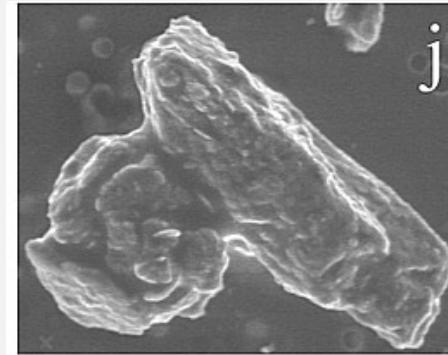
NaCl (Sea salt)



Haarig et al., 2017

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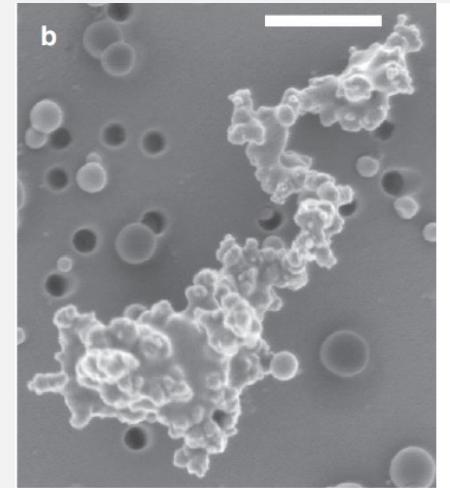
Dust



Reid et al., 2003

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Wildfire smoke



China et al., 2013

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Haarig et al., 2017 : Dry versus wet marine particle optical properties: RH dependence of depolarization ratio, backscatter, and extinction from multiwavelength lidar measurements during SALTRACE, Atmos. Chem. Phys., 17, 14199–14217, <https://doi.org/10.5194/acp-17-14199-2017>.

Reid et al., 2003: Characterization of African dust transported to Puerto Rico by individual particle and size segregated bulk analysis, J. Geophys. Res., 108, 8591, doi:10.1029/2002JD002935, D19.

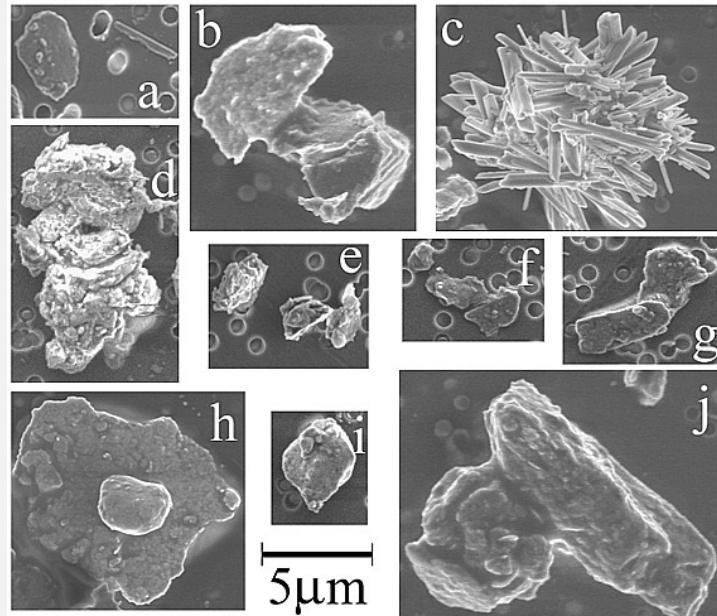
Why do lidar ratios vary?

Size distribution

Composition

Shape(s)

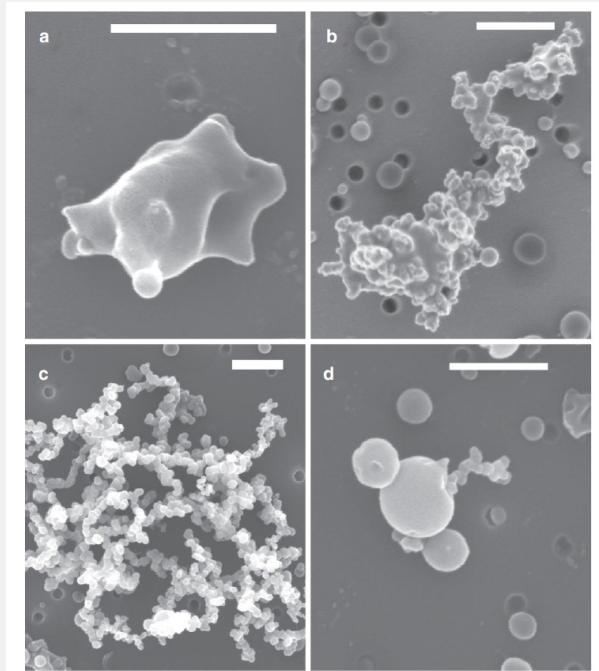
Dust



Reid et al., 2003

[AGU permission policy](#)

Wildfire smoke



China et al., 2013

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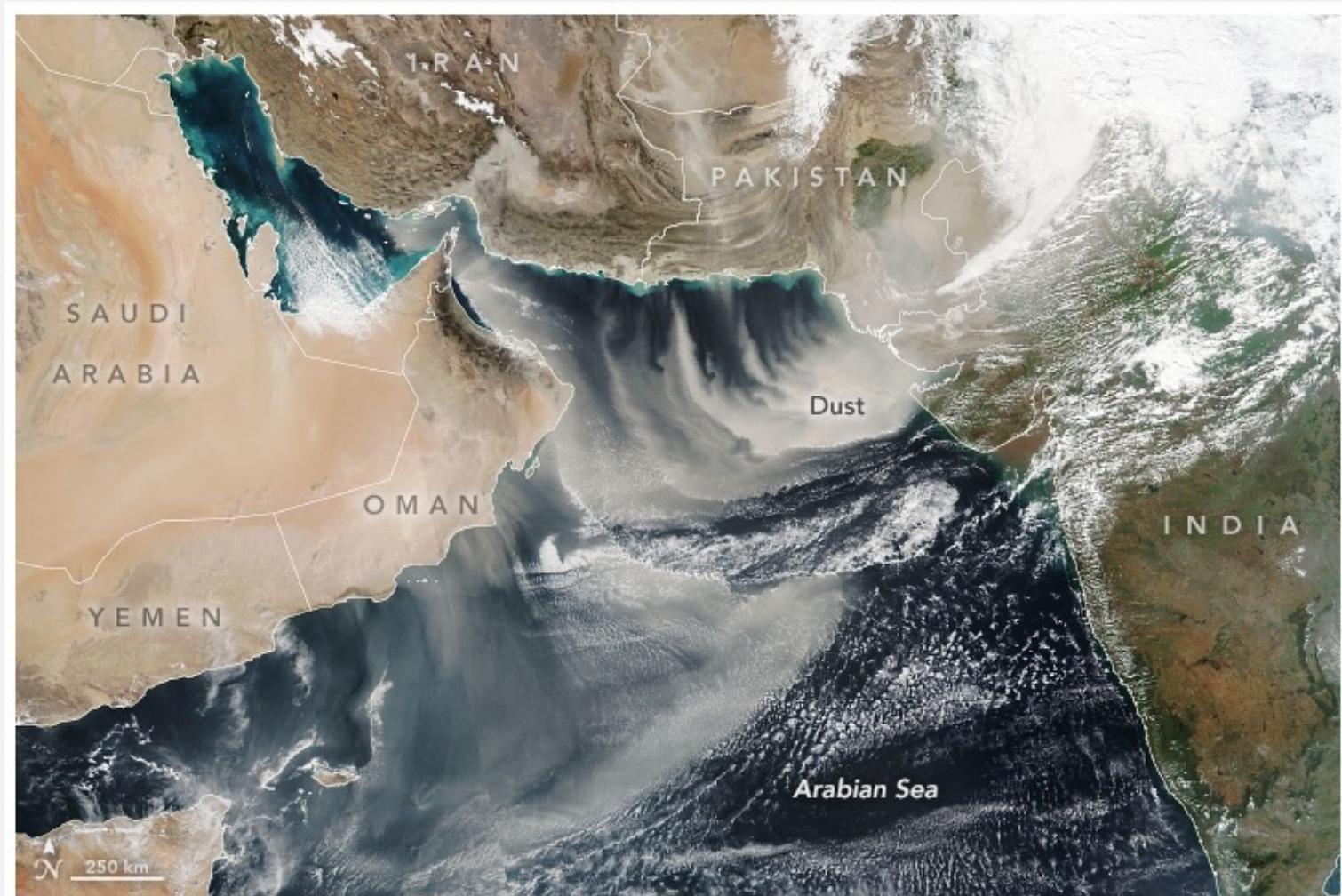
China et al., 2013: Morphology and mixing state of individual freshly emitted wildfire carbonaceous particles. Nat Commun 4, 2122. <https://doi.org/10.1038/ncomms3122>

Reid et al., 2003: Characterization of African dust transported to Puerto Rico by individual particle and size segregated bulk analysis, J. Geophys. Res., 108, 8591, doi:10.1029/2002JD002935, D19.

What about lidar ratios for mixtures?

It gets complicated...

Mixtures are a challenge for elastic backscatter lidars
e.g., CALIPSO, CATS



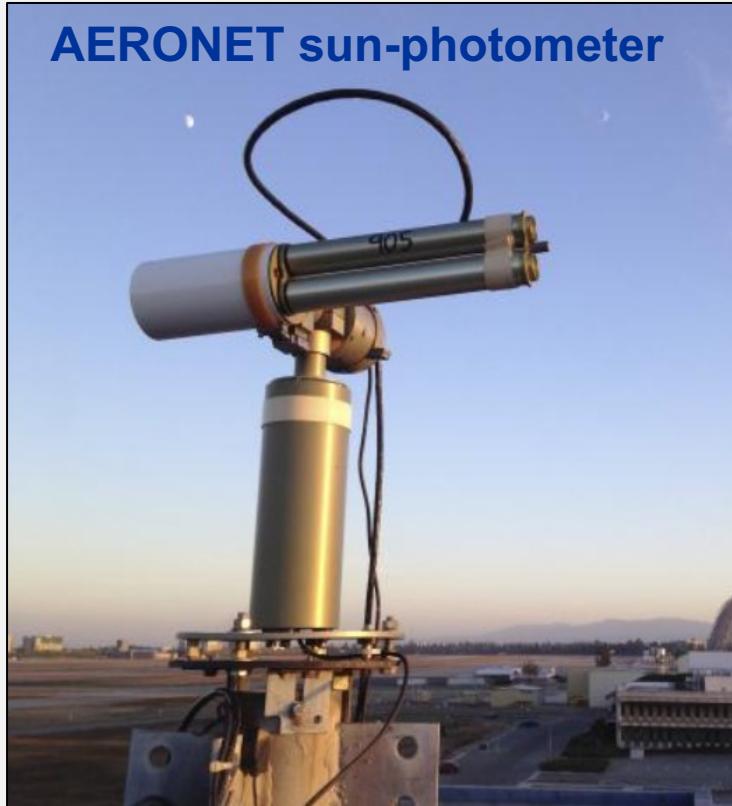
January 22, 2022

Credit: NASA Earth Observatory, Lauren Dauphin

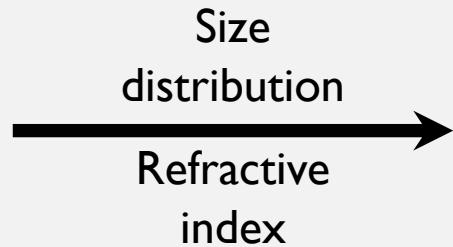
<https://earthobservatory.nasa.gov/images/149378/dust-storm-envelops-the-arabian-sea>

How do we determine lidar ratios?

- Measurements as constraints with inversion models



Size
distribution
Refractive
index

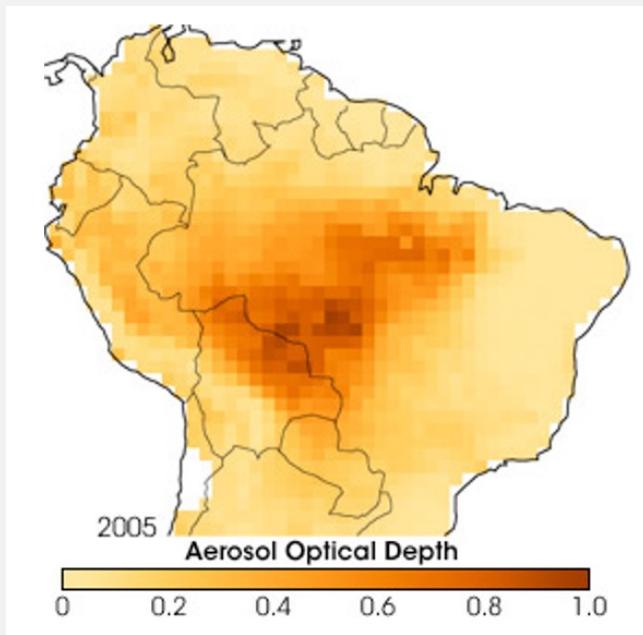
A horizontal black arrow pointing from left to right. Above the arrow, the text "Size distribution" is written vertically. Below the arrow, the text "Refractive index" is written vertically.

Light scattering model
e.g. Dubovik, et al. 2006
single-scattering spheroids

How do we determine lidar ratios?

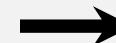
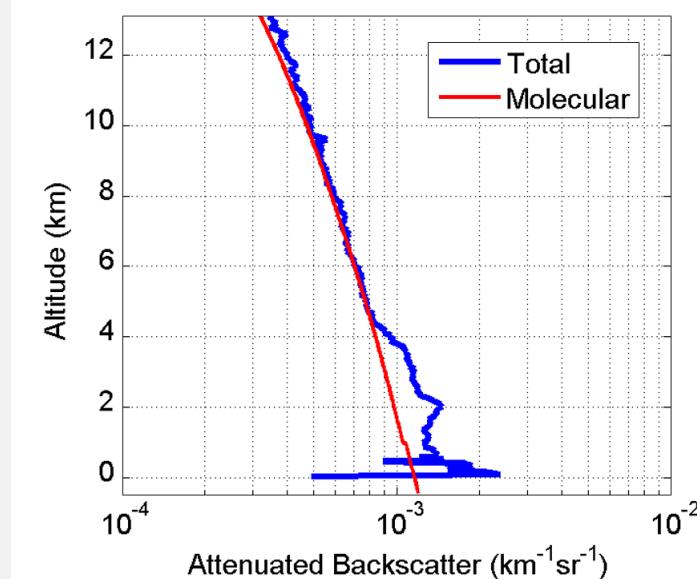
- Column observations as constraint with Fernald-Klett inversion

MODIS column AOD retrieval



+

CALIPSO profile measurement

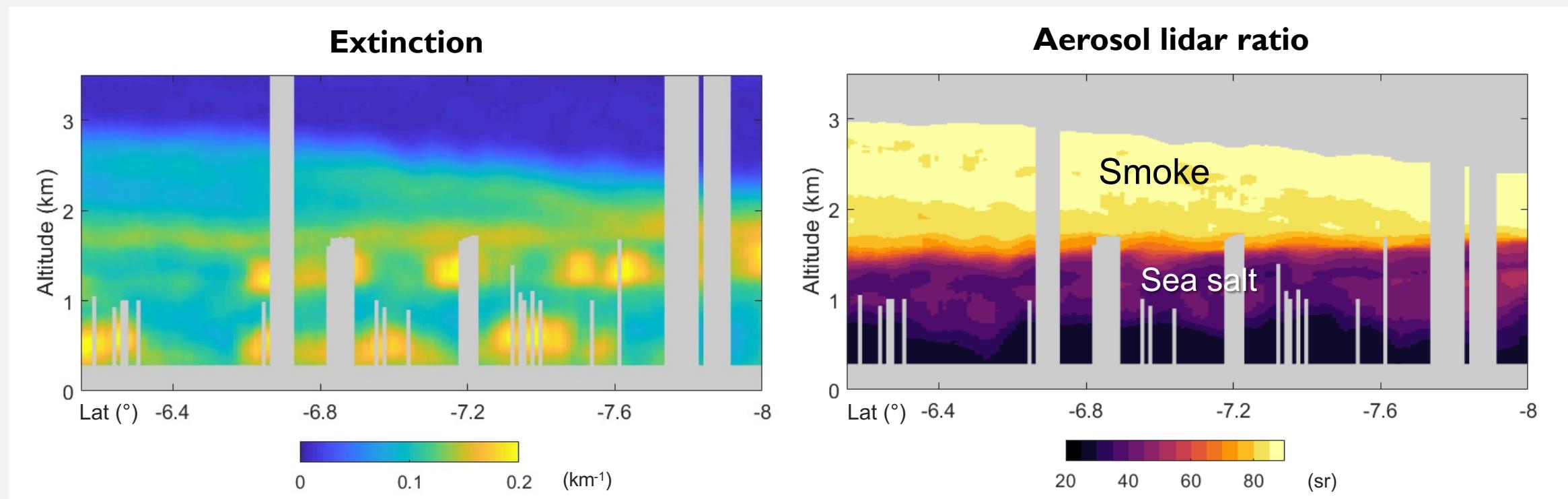


Fernald-Klett
inversion to
retrieve extinction

$$AOD = \int \sigma dz$$

How do we determine lidar ratios?

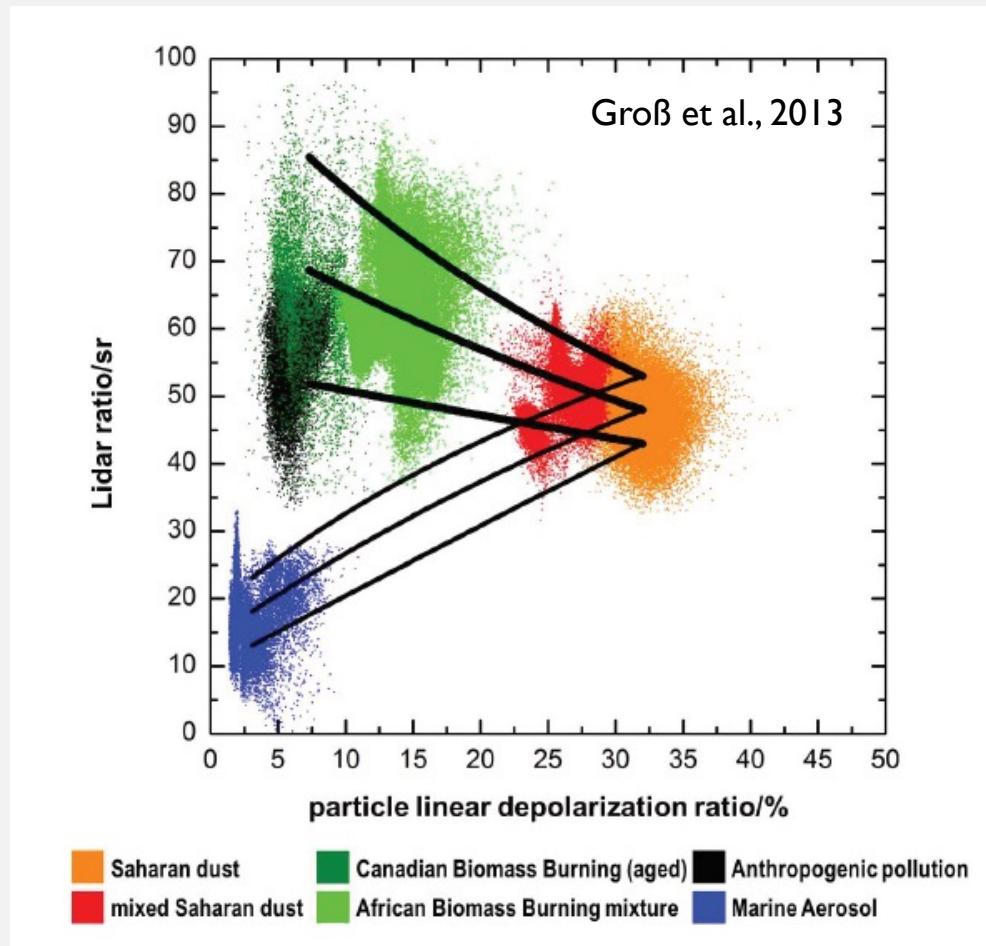
- Direct measurement with high spectral resolution lidar (HSRL) and Raman lidar systems



NASA LaRC Airborne HSRL-2 measurements from ORACLES-2,
Aug. 17, 2017. Courtesy of Rich Ferrare

$$S_{aer} = \frac{\sigma_{aer}}{\beta_{aer}}$$

Lidar ratio measurements enable optical aerosol typing



- Aerosol type classification in modern HSRL systems rely on lidar ratio measurements (e.g., ATLID on EarthCARE)

Groß et al., 2013: Aerosol classification by airborne high spectral resolution lidar observations, *Atmos. Chem. Phys.*, 13, 2487–2505, <https://doi.org/10.5194/acp-13-2487-2013>.

Summary

- Lidar ratio is utilitarian and insightful.
- Greater understanding of true lidar ratios yields more accurate science from lidar-based observations.



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References

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- Groß, S., Esselborn, M., Weinzierl, B., Wirth, M., Fix, A., and Petzold, A.: **Aerosol classification by airborne high spectral resolution lidar observations**, Atmos. Chem. Phys., 13, 2487–2505, <https://doi.org/10.5194/acp-13-2487-2013>, 2013.
- Haarig, M., Ansmann, A., Gasteiger, J., Kandler, K., Althausen, D., Baars, H., Radenz, M., and Farrell, D.A.: **Dry versus wet marine particle optical properties: RH dependence of depolarization ratio, backscatter, and extinction from multiwavelength lidar measurements during SALTRACE**, Atmos. Chem. Phys., 17, 14199–14217, <https://doi.org/10.5194/acp-17-14199-2017>, 2017.
- Omar, A., D. Winker, C. Kittaka, M. Vaughan, Z. Liu, Y. Hu, C. Trepte, R. Rogers, R. Ferrare, R. Kuehn, C. Hostetler, 2009: **The CALIPSO Automated Aerosol Classification and Lidar Ratio Selection Algorithm**, J. Atmos. Oceanic Technol., 26, 1994-2014, <https://doi.org/10.1175/2009JTECH1231.1>.
- Reid, E.A., Reid, J. S., Meier, M. M., Dunlap, M. R., Cliff, S. S., Broumas, A., Perry, K., and Maring, H. (2003), **Characterization of African dust transported to Puerto Rico by individual particle and size segregated bulk analysis**, J. Geophys. Res., 108, 8591, doi:10.1029/2002JD002935, D19.